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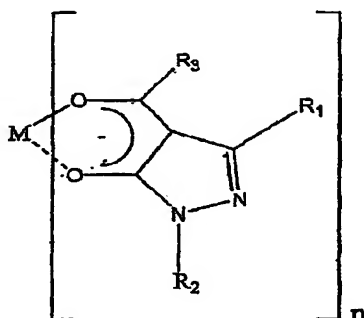
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(54) Title: ELECTROLUMINESCENT MATERIALS AND DEVICES



(57) Abstract: An electroluminescent material is a metal complex of 1-phenyl-3-methyl-4-trimethylacetyl-pyrazol-5-one of formula (I). An electroluminescent device comprising the compound of formula (I) in the luminescent layer is also part of the invention.

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Electroluminescent Materials and Devices

The present invention relates to electroluminescent materials and devices incorporating electroluminescent materials.

5

Materials which emit light when an electric current is passed through them are well known and used in a wide range of display applications. Liquid crystal devices and devices which are based on inorganic semiconductor systems are widely used; however these suffer from the disadvantages of high energy consumption, high cost of manufacture, low quantum efficiency and the inability to make flat panel displays.

10

Organic polymers have been proposed as useful in electroluminescent devices, but it is not possible to obtain pure colours; they are expensive to make and have a relatively low efficiency.

15

Another compound which has been proposed is aluminium quinolate, but this requires dopants to be used to obtain a range of colours and has a relatively low efficiency.

Patent application WO98/58037 describes a range of lanthanide complexes which can be used in electroluminescent devices which have improved properties and give better results. Patent Applications PCT/GB98/01773, PCT/GB99/03619, PCT/GB99/04030, PCT/GB99/04024, PCT/GB99/04028, PCT/GB00/00268 describe electroluminescent complexes, structures and devices using rare earth chelates.

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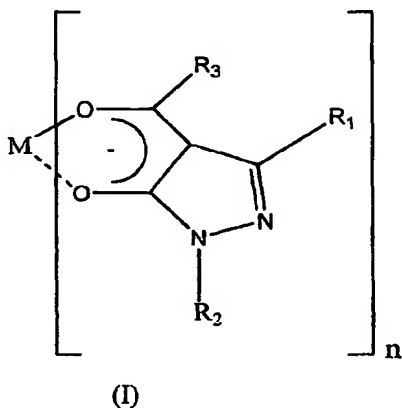
Hitherto electroluminescent metal complexes have been based on a rare earth, transition metal, lanthanide or an actinide or have been quinolates such as aluminium quinolate.

30

- 2 -

We have now invented electroluminescent materials which do not include a rare earth, transition metal, lanthanide or an actinide.

According to the invention there is provided an electroluminescent compound which
 5 has the formula



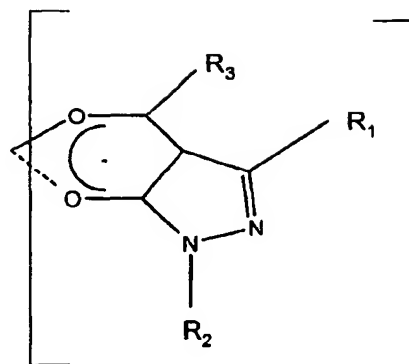
10 where M is a metal other than aluminium; n is the valency of M; R₁, R₂ and R₃ which may be the same or different are selected from hydrogen, hydrocarbyl groups, substituted and unsubstituted aliphatic groups substituted and unsubstituted aromatic, heterocyclic and polycyclic ring structures, fluorocarbons such as trifluoromethyl groups, halogens such as fluorine or thiophenyl groups or nitrile; R₁ and R₃ can also
 15 be form ring structures and R₁, R₂ and R₃ can be copolymerisable with a monomer, e.g. styrene.

The compounds of formula (I) can be coordinated with a neutral ligand such as L_p
 To form a complex



where L α is of formula

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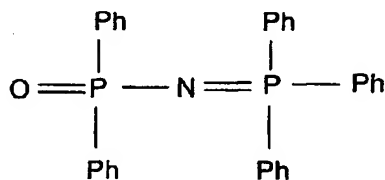


(II)

where M is a metal, n is the valency of M and Lp is a neutral ligand.

5

The groups L_p can be selected from

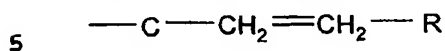


(III)

- Where each Ph which can be the same or different and can be a phenyl (OPNP) or a substituted phenyl group, other substituted or unsubstituted aromatic group, a substituted or unsubstituted heterocyclic or polycyclic group, a substituted or unsubstituted fused aromatic group such as a naphthyl, anthracene, phenanthrene or pyrene group. The substituents can be for example an alkyl, aralkyl, alkoxy, aromatic, heterocyclic, polycyclic group, halogen such as fluorine, cyano, amino.
- 15 Substituted amino etc. Examples are given in figs. 8 and 9 of the drawings where R, R₁, R₂, R₃ and R₄ can be the same or different and are selected from hydrogen, hydrocarbyl groups, substituted and unsubstituted aromatic, heterocyclic and polycyclic ring structures, fluorocarbons such as trifluoromethyl groups, halogens

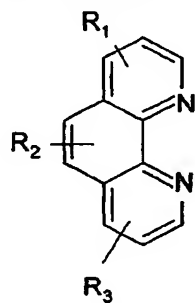
- 4 -

such as fluorine or thiophenyl groups; R, R₁, R₂, R₃ and R₄ can also form substituted and unsubstituted fused aromatic, heterocyclic and polycyclic ring structures and can be copolymerisable with a monomer e.g. styrene. R, R₁, R₂, R₃ and R₄ can also be unsaturated alkylene groups such as vinyl groups or groups

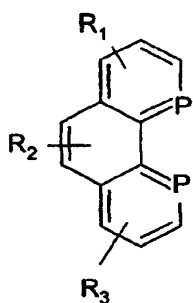


where R is as above.

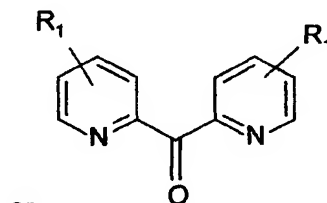
L_p can also be compounds of formulae



(IV)

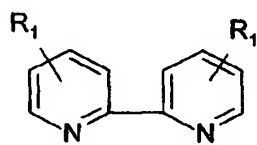


(V)

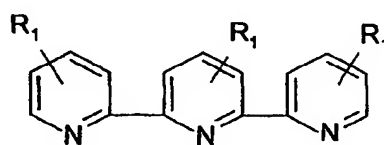


(VI)

where R₁, R₂ and R₃ are as referred to above, for example bathophen shown in fig. 10 of the drawings in which R is as above or



(VII)



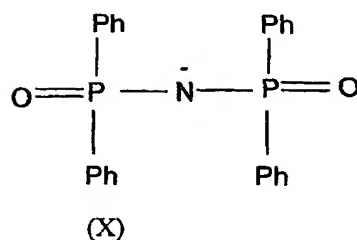
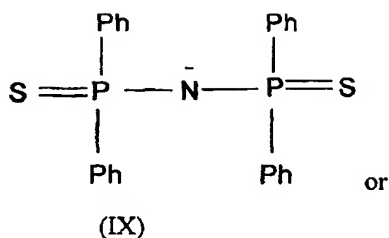
(VIII)

where R₁, R₂ and R₃ are as referred to above.

L_p can also be

20

- 5 -



where Ph is as above.

- 5 Other examples of L_p chelates are as shown in figs. 11 and fluorene and fluorene derivatives e.g. a shown in figs. 12 and compounds of formulae as shown as shown in figs. 13 to 15.

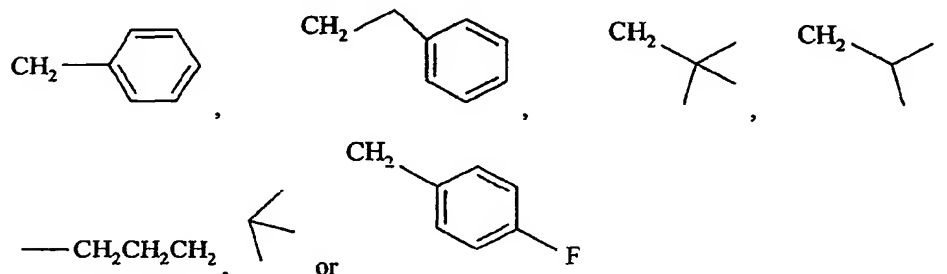
- 10 The invention also provides an electroluminescent device comprising (i) a first electrode, (ii) an electroluminescent layer comprising a layer of a complex of formula (I) and (iii) a second electrode.

- 15 Examples of R_1 and/or R_2 and/or R_3 include aliphatic, aromatic and heterocyclic alkoxy, aryloxy and carboxy groups, substituted and substituted phenyl, fluorophenyl, biphenyl, phenanthrene, anthracene, naphthyl and fluorene groups alkyl groups such as t-butyl, heterocyclic groups such as carbazole.

- 20 Preferably R_1 and R_2 are Ph_1 and Ph_2 and at least one of Ph_1 and Ph_2 is a substituted or unsubstituted aromatic compound and the other Ph moiety is selected from hydrogen, and substituted and unsubstituted hydrocarbyl groups such as substituted and unsubstituted aliphatic groups, substituted and unsubstituted aromatic, heterocyclic and polycyclic ring structures, fluorocarbons such as trifluoromethyl groups, halogens such as fluorine; substituted and unsubstituted fused aromatic, heterocyclic and polycyclic ring structures and can be copolymerisable with a monomer, e.g. styrene, fluorocarbons such as trifluoromethyl groups, halogens such as fluorine. Examples include aliphatic, aromatic and heterocyclic alkoxy, aryloxy
- 25

and carboxy groups, substituted and substituted phenyl, fluorophenyl, biphenyl, phenanthrene, anthracene, naphthyl and fluorene groups alkyl groups such as t-butyl, heterocyclic groups such as carbazole.

- 5 Preferably R_1 is methyl and R_2 is



Preferred metals are metals other than aluminium, e.g. gallium, indium, germanium, tin (II), tin (IV), antimony (II), antimony (IV), lead (II), lead (IV) and metals of the first, second and third groups of transition metals in different valence states e.g. manganese, iron, ruthenium, osmium, cobalt, nickel, palladium(II), palladium(IV), platinum(II), platinum(IV), cadmium, chromium. titanium, vanadium, zirconium, tantalum, molybdenum, rhodium, iridium, titanium, niobium, scandium, yttrium, and R_3 is preferably a phenyl or substituted phenyl group.

15

Preferably there is a hole transmitting layer deposited on the transparent substrate and the electroluminescent material is deposited on the hole transmitting layer. The hole transmitting layer serves to transport holes and to block the electrons, thus preventing electrons from moving into the electrode without recombining with holes.

20

The recombination of carriers therefore mainly takes place in the emitter layer.

Hole transmitting layers are used in small molecule based polymer electroluminescent devices and in electroluminescent devices based on rare earth metal complexes and any of the known hole transmitting materials in film form can be used.

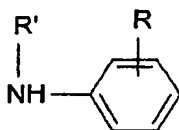
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- 7 -

Hole transmitting layers are used in polymer electroluminescent devices and any of the known hole transmitting materials in film form can be used.

The hole transmitting layer can be made of a film of an aromatic amine complex
5 such as poly (vinylcarbazole), N, N'-diphenyl-N, N'-bis (3-methylphenyl) -1,1' -
biphenyl -4,4'-diamine (TPD), an unsubstituted or substituted polymer of an amino
substituted aromatic compound, a polyaniline, substituted polyanilines,
polythiophenes, substituted polythiophenes, polysilanes etc. Examples of
polyanilines are polymers of

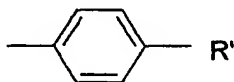
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(XI)

where R is in the ortho - or meta-position and is hydrogen, C1-18 alkyl, C1-6
alkoxy, amino, chloro, bromo, hydroxy or the group

15

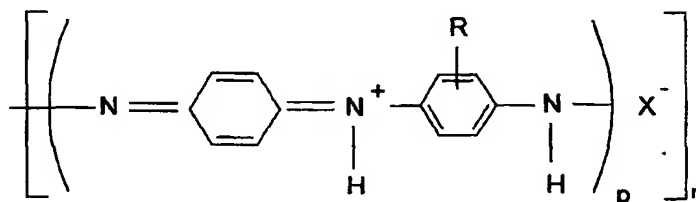


where R is alkyl or aryl and R' is hydrogen, C1-6 alkyl or aryl with at least one other
monomer of formula I above.

20

25

Polyanilines which can be used in the present invention have the general formula



(XII)

where p is from 1 to 10 and n is from 1 to 20, R is as defined above and X is an anion, preferably selected from Cl, Br, SO₄, BF₄, PF₆, H₂PO₃, H₂PO₄, arylsulphonate, arenedicarboxylate, polystyrenesulphonate, polyacrylate alkylsulphonate, vinylsulphonate, vinylbenzene sulphonate, cellulosesulphonate, camphor sulphonates, cellulose sulphate or a perfluorinated polyanion.

- 10 Examples of arylsulphonates are p-toluenesulphonate, benzenesulphonate, 9,10-anthraquinone-sulphonate and anthracenesulphonate, an example of an arenedicarboxylate is phthalate and an example of arenecarboxylate is benzoate.

15 We have found that protonated polymers of the unsubstituted or substituted polymer of an amino substituted aromatic compound such as a polyaniline are difficult to evaporate or cannot be evaporated; however we have surprisingly found that if the unsubstituted or substituted polymer of an amino substituted aromatic compound is de-protonated it can be easily evaporated i.e. the polymer is evaporable.

- 20 Preferably evaporable de-protonated polymers of unsubstituted or substituted polymer of an amino substituted aromatic compound are used. The de-protonated unsubstituted or substituted polymer of an amino substituted aromatic compound can be formed by deprotonating the polymer by treatment with an alkali such as ammonium hydroxide or an alkali metal hydroxide such as sodium hydroxide or
25 potassium hydroxide.

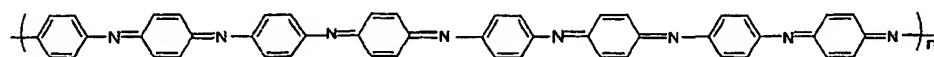
The degree of protonation can be controlled by forming a protonated polyaniline and de-protonating. Methods of preparing polyanilines are described in the article by A. G. MacDiarmid and A. F. Epstein, Faraday Discussions, Chem Soc.88 P319 1989.

5

The conductivity of the polyaniline is dependent on the degree of protonation with the maximum conductivity being when the degree of protonation is between 40 and 60%, e.g. about 50%.

10 Preferably the polymer is substantially fully de-protonated.

A polyaniline can be formed of octamer units i.e. p is four, e.g.



15

The polyanilines can have conductivities of the order of 1×10^{-1} Siemen cm^{-1} or higher.

20 The aromatic rings can be unsubstituted or substituted, e.g. by a C1 to 20 alkyl group such as ethyl.

The polyaniline can be a copolymer of aniline and preferred copolymers are the copolymers of aniline with o-anisidine, m-sulphanilic acid or o-aminophenol, or o-toluidine with o-aminophenol, o-ethylaniline, o-phenylene diamine or with amino anthracenes.

25

Other polymers of an amino substituted aromatic compound which can be used include substituted or unsubstituted polyaminonaphthalenes, polyaminoanthracenes, polyaminophenanthrenes, etc. and polymers of any other condensed polyaromatic

- 10 -

compound. Polyaminoanthracenes and methods of making them are disclosed in US Patent 6,153,726. The aromatic rings can be unsubstituted or substituted, e.g. by a group R as defined above.

- 5 The polyanilines can be deposited on the first electrode by conventional methods, e.g. by vacuum evaporation, spin coating, chemical deposition, direct electrodeposition etc. Preferably the thickness of the polyaniline layer is such that the layer is conductive and transparent and is preferably from 20nm to 200nm. The polyanilines can be doped or undoped. When they are doped they can be dissolved
10 in a solvent and deposited as a film, when they are undoped they are solids and can be deposited by vacuum evaporation i.e. by sublimation.

- The structural formulae of some other hole transmitting materials are shown in Figures 1, 2, 3, 4 and 5 of the drawings, where R, R₁, R₂ and R₃ can be the same or
15 different and are selected from hydrogen, and substituted and unsubstituted hydrocarbyl groups such as substituted and unsubstituted aliphatic groups, substituted and unsubstituted aromatic, heterocyclic and polycyclic ring structures, fluorocarbons such as trifluoromethyl groups, halogens such as fluorine or thiophenyl groups; R₁, R₂ and R₃ can also form substituted and unsubstituted fused
20 aromatic, heterocyclic and polycyclic ring structures and can be copolymerisable with a monomer, e.g. styrene. X is Se, S or O, Y can be hydrogen, substituted or unsubstituted hydrocarbyl groups, such as substituted and unsubstituted aromatic, heterocyclic and polycyclic ring structures, fluorine, fluorocarbons such as trifluoromethyl groups, halogens such as fluorine or thiophenyl groups or nitrile.

- 25 Examples of R₁ and/or R₂ and/or R₃ include aliphatic, aromatic and heterocyclic alkoxy, aryloxy and carboxy groups, substituted and unsubstituted phenyl, fluorophenyl, biphenyl, phenanthrene, anthracene, naphthyl and fluorene groups alkyl groups such as t-butyl, heterocyclic groups such as carbazole.

30

- 11 -

The hole transporting material can optionally be mixed with the electroluminescent material in a ratio of 5 - 95% of the electroluminescent material to 95 to 5% of the hole transporting compound.

5 Other hole transporting materials which can be used are conjugated polymers.

US Patent 5807627 discloses an electroluminescence device in which there are conjugated polymers in the electroluminescent layer. The conjugated polymers referred to are defined as polymers for which the main chain is either fully
10 conjugated possessing extended pi molecular orbitals along the length of the chain or else is substantially conjugated, but with interruptions to conjugation, either random or regular along the main chain. They can be homopolymers or copolymers.

The conjugated polymer used can be any of the conjugated polymers disclosed or
15 referred to in US 5807627, PCT/WO90/13148 and PCT/WO92/03490.

The conjugated polymers disclosed are poly (p-phenylenevinylene)-PPV and copolymers including PPV. Other preferred polymers are poly(2,5 dialkoxyphenylene vinylene) such as poly (2-methoxy-5-(2-methoxypentyloxy-1,4-
20 phenylene vinylene), poly(2-methoxypentyloxy)-1,4-phenylenevinylene), poly(2-methoxy-5-(2-dodecyloxy-1,4-phenylenevinylene) and other poly(2,5 dialkoxyphenylenevinylens) with at least one of the alkoxy groups being a long chain solubilising alkoxy group, poly fluorenes and oligofluorenes, polyphenylenes and oligophenylenes, polyanthracenes and oligo anthracenes, ploythiophenes and
25 oligothiophenes.

In PPV the phenylene ring may optionally carry one or more substituents, e.g. each independently selected from alkyl, preferably methyl, alkoxy, preferably methoxy or
ethoxy.

30

Any poly(arylenevinylene) including substituted derivatives thereof can be used and the phenylene ring in poly(p-phenylenevinylene) may be replaced by a fused ring system such as anthracene or naphthylene ring and the number of vinylene groups in each polyphenylenevinylene moiety can be increased, e.g. up to 7 or higher.

5

The conjugated polymers can be made by the methods disclosed in US 5807627, PCT/WO90/13148 and PCT/WO92/03490.

10

The hole transmitting material and the light emitting metal compound can be mixed to form one layer, e.g. in an proportion of 5 to 95% of the hole transmitting material to 95 to 5% of the light emitting metal compound.

15

Optionally there is a layer of an electron transmitting material between the cathode and the electroluminescent material layer. The electron transmitting material is a material which will transport electrons when an electric current is passed through it. Electron transmitting materials include a metal complex such as a metal quinolate, e.g. an aluminium quinolate, lithium quinolate, a cyano anthracene such as 9,10 dicyano anthracene, a polystyrene sulphonate and compounds of formulae shown in Figs. 6 and 7. Instead of being a separate layer the electron transmitting material can be mixed with the electroluminescent material to form one layer, e.g. in a proportion of 5 to 95% of the electron transmitting material to 95 to 5% of the light emitting metal compound.

20

The electroluminescent layer can comprise a mixture of the light emitting metal compound with the hole transmitting material and electron transmitting material.

25

The electroluminescent material can be deposited on the substrate directly by vacuum evaporation or evaporation from a solution in an organic solvent. The solvent which is used will depend on the material but chlorinated hydrocarbons such as dichloromethane and n-methyl pyrrolidone; dimethyl sulphoxide; tetra

30

hydrofuran; dimethylformamide etc. are suitable in many cases.

Alternatively electroluminescent material can be deposited by spin coating from solution, or by vacuum deposition from the solid state, e.g. by sputtering, or any
5 other conventional method can be used.

Preferably the first electrode is a transparent substrate such as a conductive glass or plastic material which acts as the anode. Preferred substrates are conductive glasses such as indium tin oxide coated glass, but any glass which is conductive or has a
10 transparent conductive layer such as a metal or conductive polymer can be used.

Conductive polymers and conductive polymer coated glass or plastics materials can also be used as the substrate.

15 The second electrode functions as the cathode and can be any low work function metal, e.g. aluminium, calcium, lithium, silver/magnesium alloys etc; aluminium is a preferred metal.

The display of the invention may be monochromatic or polychromatic.
20 Electroluminescent rare earth chelate compounds are known which will emit a range of colours, e.g. red, green, and blue light and white light and examples are disclosed in Patent Applications WO98/58037 PCT/GB98/01773, PCT/GB99/03619, PCT/GB99/04030, PCT/GB99/04024, PCT/GB99/04028, PCT/GB00/00268 and can be used to form OLEDs emitting those colours. Thus, a full colour display can be
25 formed by arranging three individual backplanes, each emitting a different primary monochrome colour, on different sides of an optical system, from another side of which a combined colour image can be viewed. Alternatively, rare earth chelate electroluminescent compounds emitting different colours can be fabricated so that adjacent diode pixels in groups of three neighbouring pixels produce red, green and
30 blue light. In a further alternative, field sequential colour filters can be fitted to a

white light emitting display.

- 5 Either or both electrodes can be formed of silicon and the electroluminescent material and intervening layers of a hole transporting and electron transporting materials can be formed as pixels on the silicon substrate. Preferably each pixel comprises at least one layer of a rare earth chelate electroluminescent material and an (at least semi-) transparent electrode in contact with the organic layer on a side thereof remote from the substrate.
- 10 Preferably, the substrate is of crystalline silicon and the surface of the substrate may be polished or smoothed to produce a flat surface prior to the deposition of electrode, or electroluminescent compound. Alternatively a non-planarised silicon substrate can be coated with a layer of conducting polymer to provide a smooth, flat surface prior to deposition of further materials.
- 15 In one embodiment, each pixel comprises a metal electrode in contact with the substrate. Depending on the relative work functions of the metal and transparent electrodes, either may serve as the anode with the other constituting the cathode.
- 20 When the silicon substrate is the cathode an indium tin oxide coated glass can act as the anode and light is emitted through the anode. When the silicon substrate acts as the anode, the cathode can be formed of a transparent electrode which has a suitable work function, for example by a indium zinc oxide coated glass in which the indium zinc oxide has a low work function. The anode can have a transparent coating of a
- 25 metal formed on it to give a suitable work function. These devices are sometimes referred to as top emitting devices or back emitting devices.

The metal electrode may consist of a plurality of metal layers, for example a higher work function metal such as aluminium deposited on the substrate and a lower work

- 15 -

function metal such as calcium deposited on the higher work function metal. In another example, a further layer of conducting polymer lies on top of a stable metal such as aluminium.

- 5 Preferably, the electrode also acts as a mirror behind each pixel and is either deposited on, or sunk into, the planarised surface of the substrate. However, there may alternatively be a light absorbing black layer adjacent to the substrate.

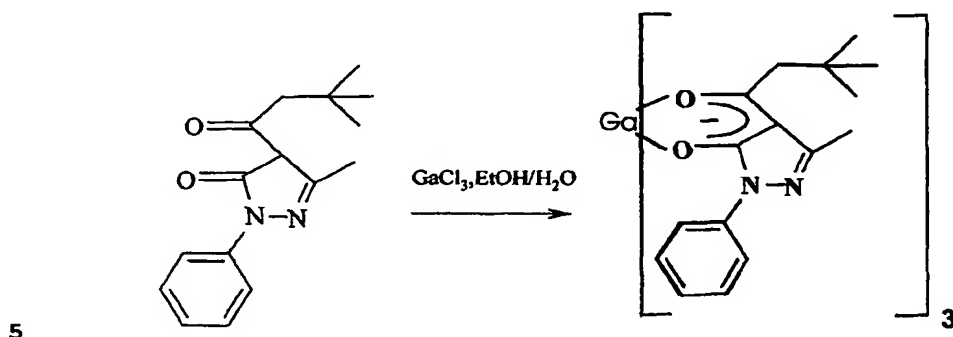
- 10 In still another embodiment, selective regions of a bottom conducting polymer layer are made non-conducting by exposure to a suitable aqueous solution allowing formation of arrays of conducting pixel pads which serve as the bottom contacts of the pixel electrodes.

- 15 As described in WO00/60669 the brightness of light emitted from each pixel is preferably controllable in an analogue manner by adjusting the voltage or current applied by the matrix circuitry or by inputting a digital signal which is converted to an analogue signal in each pixel circuit. The substrate preferably also provides data drivers, data converters and scan drivers for processing information to address the array of pixels so as to create images. When an electroluminescent material is used
20 which emits light of a different colour, depending on the applied voltage, the colour of each pixel can be controlled by the matrix circuitry.

- In one embodiment, each pixel is controlled by a switch comprising a voltage controlled element and a variable resistance element, both of which are conveniently
25 formed by metal-oxide-semiconductor field effect transistors (MOSFETs) or by an active matrix transistor.

The invention is illustrated in the examples.

30

Example 1Preparation of Tris-(4-^tButylacetyl-3-methyl-1-phenyl-pyrazol-5-onato)Gallium (Ga(^tBuPz)₃)

4-^tButylacetyl-3-methyl-1-phenyl-pyrazol-5-one (2.83g, 10.39mmol), in a 100ml round bottom flask, was dissolved in ethanol (~50mL) with gentle heating. Gallium(III) chloride (0.61g, 3.46mmol) was dissolved in H₂O(~10mL) and added to the pyrazolone solution. The resulting suspension was heated to reflux for 2 hours and then allowed to cool. The resulting suspension was filtered and washed with H₂O (3 x10ml) and EtOH (3x10ml) then dried under vacuum at 80°C to give a pink powder with the following analysis.

10

Ga(^t BuPz) ₃	C	H	N
Theoretical	65.24	6.50	9.51
Found	65.07	6.57	9.46

15

Melting point: 252.1°C (D.S.C.)

Emission λ_{max} : ~450nmPhotoluminescence Efficiency (x,y): 0.001cdm²μW⁻¹(0.21, 0.24)PL measurement:

20 PL spectra was measured by Lot Oriel Multispec Model 77400 CCD Camera..

The measurement was carried out from the powder by spreading the powder on a spectrosil plate.

Reagents Gallium(III) chloride, anhydrous, 99.99%; Aldrich; 45,089-8

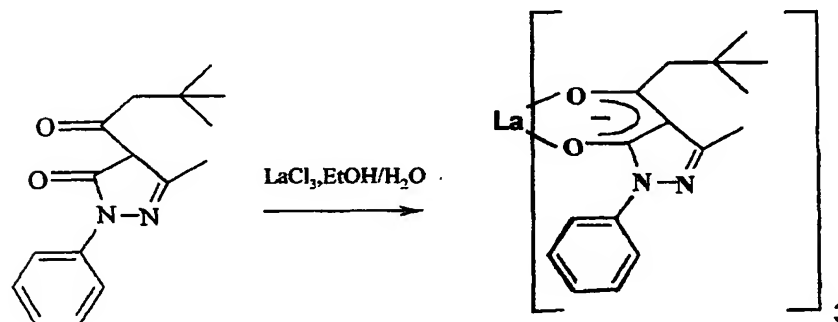
5 4-^tButylacetyl-3-methyl-1-phenyl-pyrazol-5-onato as prepared

Ethanol, denatured with 4.8% Methanol; Fluka; 02857

Example 2

Preparation of Tris-(4-^tButylacetyl-3-methyl-1-phenyl-pyrazol-5-onato) Lanthanum

10 (La(^tBuPz)₃)



15 4-^tButylacetyl-3-methyl-1-phenyl-pyrazol-5-one (2.93g, 10.76mmol), in a 100ml round bottom flask, was dissolved in ethanol (~50mL) with gentle heating. Lanthanum(III) chloride (1.27g, 3.59mmol) was dissolved in H₂O(~10mL) and added to the pyrazolone solution. The resulting suspension was heated to reflux for 2 hours and then allowed to cool. The resulting suspension was filtered and washed with H₂O (3 x 10mL) and EtOH (3x10mL) then dried under vacuum at 80°C to give a
20 white powder with the following analysis.

- 18 -

$\text{Sc}(\text{tBuPz})_3$	C	H	N
Theoretical	60.48	6.03	8.82
Found	59.92	6.28	8.67

Melting point: 114.1°C (T_g)Emission λ_{max} : 441.8nmPhotoluminescence Efficiency (x,y): 0.003cdm²μW⁻¹ (0.20, 0.22)

5

Reagents

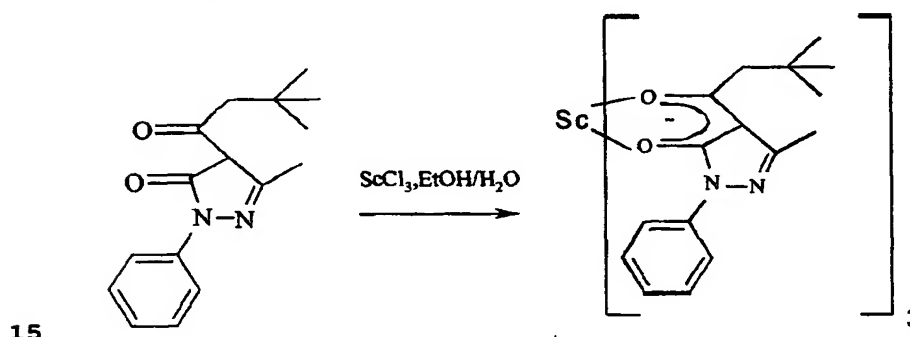
Lanthanum(III) chloride hexahydrate, 99.9%; Strem Chemicals, 93-5731; Lot no. 251194-S

4-^tButylacetyl-3-methyl-1-phenyl-pyrazol-5-one as prepared

10 Ethanol, denatured with 4.8% Methanol; Fluka; 02857.

Example 3

Preparation of Tri-(4-^tButylacetyl-3-methyl-phenyl-pyrazol-5-onato)Scandium
($\text{Sc}(\text{tBuPz})_3$)



4-^tButylacetyl-3-methyl-1-phenyl-pyrazol-5-one (1.07g, 3.93mmol), in a 100ml round bottom flask, was dissolved in ethanol (~50mL) with gentle heating. Scandium(III) chloride hexahydrate (0.34g, 1.31mmol) was dissolved in H₂O (~40mL) and added to the pyrazolone solution. The resulting suspension was heated to reflux for 2 hours

20

and then allowed to cool. The resulting suspension was filtered and washed with H₂O (3 x10ml,) and EtOH (3 x10ml) then dried under vacuum at 80°C to give a white powder with the following analysis.

Sc(^t BuPz) ₃	C	H	N
Theoretical	67.12	6.69	9.78
Found	66.73	6.65	9.62

5

Melting point: 275.5°C (D.S.C.)

Emission Max.: 448.55nm

Photoluminescence Efficiency (x,y): 0.004cdm²μW⁻¹ (0.22, 0.28)

Reagents

- 10 Scandium(III) chloride hexahydrate, 99.9%; Strem Chemicals; 93-2111, Lot no. B4745091

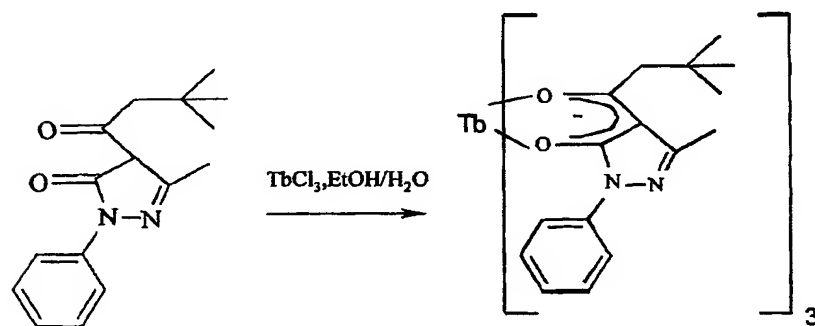
4-^tButylacetyl-3-methyl-1-phenyl-pyrazol-5-one as prepared

Ethanol, denatured with 4.8% Methanol; Fluka; 02857

- 15 Example 4

Preparation of Tris-(4-^tButylacetyl-3-methyl-1-phenyl-pyrazol-5-onato)Terbium

(Tb(^tBuPz)₃)



- 20 4-^tButylacetyl-3-methyl-1-phenyl-pyrazol-5-one (1.45g, 5.32mmol), in a 100ml

- 20 -

round bottom flask, was dissolved in ethanol (~50mL) with gentle heating. Terbium(III) chloride hexahydrate (0.66g, 1.77mmol) was dissolved in H₂O(~10mL) and added to the pyrazolone solution. The resulting suspension was heated to reflux for 2 hours and then allowed to cool. The resulting suspension was filtered and washed with H₂O (3 x10mL) and EtOH (3x10mL) then dried under vacuum at 80°C to give a white powder with the following analysis.

Tb(^t BuPz) ₃	C	H	N
Theoretical	59.24	5.91	8.64
Found	59.86	6.23	8.75

Melting point: 252.6°C (D.S.C.)

10 Emission Max.: 492.4nm, 547.6nm

Photoluminescence Efficiency (x,y): cdm²μW⁻¹

Reagents

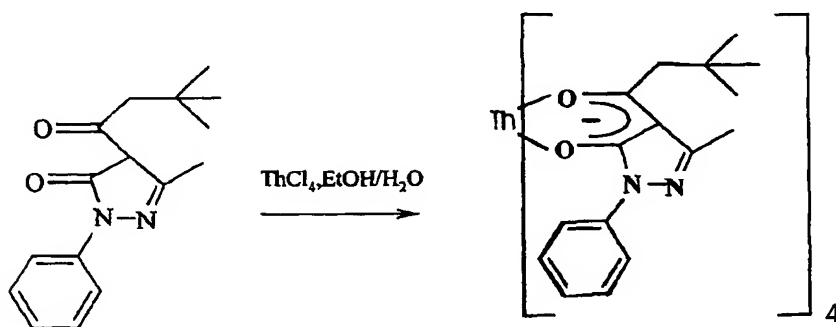
Terbium(III) chloride, 99.9%; Acros

15 4-^tButylacetyl-3-methyl-1-phenyl-pyrazol-5-one as prepared

Ethanol, denatured with 4.8% Methanol; Fluka; 02857

20

25

Example 5Preparation of Tetrakis-(4-^tButylacetyl-3-methyl-1-phenyl-pyrazol-5-onato)Thorium (Th(^tBuPz)₄)

5

4-^tButylacetyl-3-methyl-1-phenyl-pyrazol-5-one (1.92g, 7.06mmol), in a 100ml round bottom flask, was dissolved in ethanol (~50mL) with gentle heating. Thorium(IV) chloride hexahydrate (0.66g, 1.77mmol) was dissolved in H₂O(~10mL) and added to the pyrazolone solution. The resulting suspension was heated to reflux for 2 hours and then allowed to cool. The resulting suspension was filtered and washed with H₂O (3 x 10ml) and EtOH (3 x 10mL) then dried under vacuum at 80°C to give a pink powder with the following analysis.

10

Th(^t BuPz) ₄	C	H	N
Theoretical	58.35	5.81	8.58
Found	58.49	6.06	8.32

Melting point: 254.7°C (D.S.C.)

15

Emission Max.: 462.8nm

Photoluminescence Efficiency (x,y): 0.002 cdm²μW⁻¹ (0.27, 0.36)Reagents

Thorium(IV) chloride hydrate, 99.9%; Strem Chemicals; 09-3155

20

4-^tButylacetyl-3-methyl-1-phenyl-pyrazol-5-one; as prepared

- 22 -

Ethanol, denatured with 4.8% Methanol; Fluka; 02857

Example 6 Tris-(4-^tButylacetyl-3-methyl-1-phenyl-pyrazol-5-onato)Calcium
(Ca(^tBuPz)₃)₂

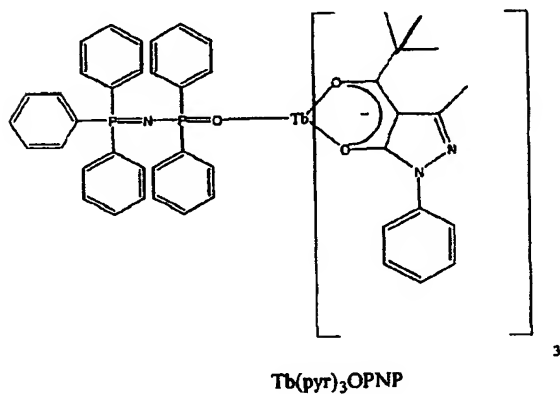
5

(Ca(^tBuPz)₃)₂ was prepared by the method of Example using calcium chloride in place of the Terbium chloride.

Example 7

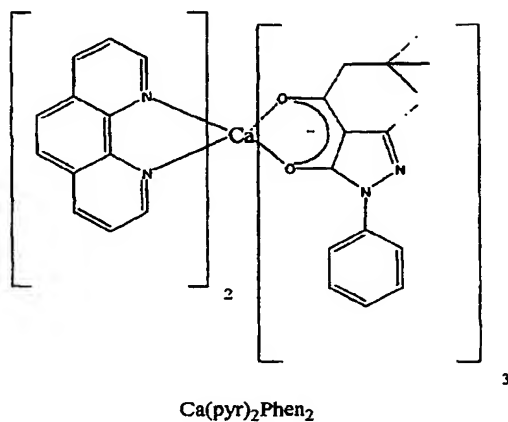
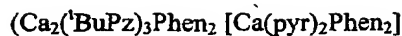
- 10 The (Tb(^tBuPz)₃) of Example 4 was heated at reflux with diphenylphosphinic-azide in trimethyl pentane and the mixture heated to reflux until a clear solution was obtained (about 1 hour). The solution was allowed to clear yielding (Tb(^tBuPz)₃)di phenylphosponimidetris-phenylphosphorane, (Tb(^tBuPz)₃OPNP [Tb(pyr)₃OPNP] as a crystalline solid.

15



Example 8

- 20 The (Ca₂(^tBuPz)₃) of Example 6 was heated under reflux with phenanthrene in chloroform overnight. The solvent was removed in vacuo to yield a solid which was



Device Fabrication

5

An indium tin oxide (ITO) coated glass piece ($1 \times 1\text{cm}^2$) had a portion etched out with concentrated hydrochloric acid to remove the ITO and was cleaned and dried. Four devices were fabricated

10 Device 1 was fabricated by sequentially forming on the ITO, layers comprising ITO/ α -NPB(75nm)/Tb(pyr)₃OPNP(50nm)/BCP(20nm)Alq₃(40nm)LiF(0.5nm)/Al where α -NPB is in fig. 1, BCP is bathocupron, LiF is lithium fluoride and Alq₃ is aluminium quinolate.

15 Device 2 was fabricated by sequentially forming on the ITO, layers comprising ITO/ α -NPB(10nm)/Tb(pyr)₃OPNP(50nm)/BCP(20nm)Alq₃(40nm)LiF(0.7nm)/Al

Device 3 was fabricated by sequentially forming on the ITO, layers comprising ITO(100 Ω sqr)/CuPc(8nm)/ α -NPB(60nm)/Ca(pyr)₂Phen₂(50nm)/Alq₃(10nm)/LiF(0.7nm)/Al

20 where CuPc is copper phthalocyanine,

- 24 -

Device 4 was fabricated by sequentially forming on the ITO, layers comprising ITO(100 Ω sqr)/CuPc(8nm) α -NPB(60nm)/Ca(pyr)₂Phen₂(10nm)/Al

5 The organic coating on the portion which had been etched with, the concentrated hydrochloric acid was wiped with a cotton bud. The coated electrodes were stored in a vacuum desiccator over a molecular sieve and phosphorous pentoxide until they were loaded into a vacuum coater (Edwards, 10⁻⁶ torr) and aluminium top contacts made. The active area of the LED's was 0.08 cm² by 0.1 cm² the devices were then kept in a vacuum desiccator until the electroluminescence studies were performed.

10

The ITO electrode was always connected to the positive terminal. The current vs. voltage studies were carried out on a computer controlled Keithly 2400 source meter. Electroluminescence spectra were recorded by means of a computer controlled charge coupled device on PR650 system made by Photoresearch Inc.

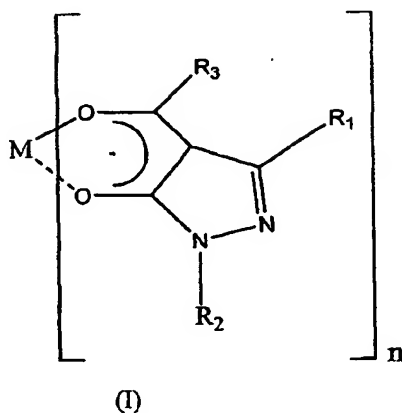
15

The results are shown in figs. 16 to 23.

20

Claims

1. An electroluminescent compound which has the formula



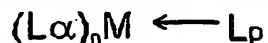
where M is a metal other than aluminium; n is the valency of M; R₁, R₂ and R₃ which may be the same or different are selected from hydrogen, hydrocarbyl groups, substituted and unsubstituted aliphatic groups substituted and unsubstituted aromatic, heterocyclic and polycyclic ring structures, fluorocarbons such as trifluoromethyl groups, halogens such as fluorine or thiophenyl groups or nitrile; R₁ and R₃ can also be form ring structures and R₁, R₂ and R₃ can be copolymerisable with a monomer, e.g. styrene.

15

2. A compound as claimed in claim 1 in which M is gallium, indium, germanium, tin (II), tin (IV), antimony (II), antimony (IV), lead (II), lead (IV) and metals of the first, second and third groups of transition metals in different valence states, e.g. manganese, iron, ruthenium, osmium, cobalt, nickel, palladium(II), palladium(IV), platinum(II), platinum(IV), cadmium, chromium, titanium, vanadium, zirconium, tantalum, molybdenum, rhodium, iridium, titanium, niobium, scandium or yttrium.

20

3. An electroluminescent compound which has the formula



5 where $L\alpha$ is of formula (II) herein, M is a metal, n is the valency of M and L_p is a neutral ligand.

4. An electroluminescent compound as claimed in claim 3 in which the groups L_p are selected from compounds of formula (III), (IV), (V), (VI), (VII), (VIII), (IX) and (X) herein and figs. 11 to 15 of the drawings.

10

5. An electroluminescent compound as claimed in claim 3 in which M is a metal selected from gallium, indium, aluminium, germanium, tin (II), tin (IV), antimony (II), antimony (IV), lead (II), lead (IV) and metals of the first, second and third groups of transition metals in different valence states e.g. manganese, iron, 15 ruthenium, osmium, cobalt, nickel, palladium(II), palladium(IV), platinum(II), platinum(IV), cadmium, chromium, titanium, vanadium, zirconium, tantalum, molybdenum, rhodium, iridium, titanium, niobium, scandium or yttrium.

6. An electroluminescent device comprising (i) a first electrode, (ii) an 20 electroluminescent layer comprising a layer of an electroluminescent compound as claimed in claim 1 or 2 and (iii) a second electrode.

7. An electroluminescent device as claimed in claim 6 in which M is gallium, indium, germanium, tin (II), tin (IV), antimony (II), antimony (IV), lead (II), lead 25 (IV) and metals of the first, second and third groups of transition metals in different valence states, e.g. manganese, iron, ruthenium, osmium, cobalt, nickel, palladium(II), palladium(IV), platinum(II), platinum(IV), cadmium, chromium, titanium, vanadium, zirconium, tantalum, molybdenum, rhodium, iridium, titanium, niobium, scandium, or yttrium and R_3 is a phenyl or substituted phenyl group.

- 27 -

8. An electroluminescent device comprising (i) a first electrode, (ii) an electroluminescent layer comprising a layer of an electroluminescent compound as claimed in any one of claims 3 to 5 and (iii) a second electrode.
- 5 9. A device as claimed in any one of claims 6 to 8 in which there is a layer of a hole transmitting material between the first electrode and the layer of the electroluminescent complex.
- 10 10. A device as claimed in any one of claims 6 to 9 in which there is a layer of an electron transmitting material between the second electrode and the layer of the electroluminescent complex.
- 15 11. An electroluminescent device which comprises (i) a first electrode, (ii) a layer of a hole transmitting material, (iii) an electroluminescent layer comprising an electroluminescent compound as claimed in any one of claims 1 to 5, (iv) a layer of an electron transmitting material and (v) a second electrode.
- 20 12. An electroluminescent device as claimed in claim 11 in which the hole transmitting layer is an aromatic amine complex.
- 25 13. An electroluminescent device as claimed in claim 9 in which the hole transmitting layer is formed from a poly(vinylcarbazole), N,N'-diphenyl-N,N'-bis (3-methylphenyl) -1,1' -biphenyl -4,4'-diamine (TPD), polyaniline, or a substituted polyaniline.
14. An electroluminescent device as claimed in claim 9 in which the hole transmitting layer has a formula (XI) or (XII) herein or as in figs. 1 to 4 of the drawings.

15. An electroluminescent device as claimed in claim 9 in which the hole transmitting layer is a conjugated polymer as herein specified.
16. An electroluminescent device as claimed in claim 9 in which the hole transmitting layer is selected from poly (p-phenylenevinylene)-PPV and copolymers including PPV, poly(2,5 dialkoxyphenylene vinylene), poly (2-methoxy-5-(2-methoxypentyloxy-1,4-phenylene vinylene), poly(2-methoxypentyloxy)-1,4-phenylenevinylene), poly(2-methoxy-5-(2-dodecyloxy-1,4-phenylenevinylene) and other poly(2,5 dialkoxyphenylenevinylenes) with at least one of the alkoxy groups being a long chain solubilising alkoxy group, poly fluorenes and oligofluorenes, polyphenylenes and oligophenylenes, polyanthracenes and oligo anthracenes, ploythiophenes and oligothiophenes.
17. An electroluminescent device as claimed in any one of claims 9 to 16 in which the hole transmitting material and the light emitting metal compound are mixed to form one layer in a proportion of 5 to 95% of the hole transmitting material to 95 to 5% of the light emitting metal compound.
18. An electroluminescent device as claimed in any one of claims 10 to 17 in which the electron transmitting material is a metal quinolate or as in fig. 5 of the drawings.
19. An electroluminescent device as claimed in claim 18 in which the metal quinolate is lithium, sodium, potassium, zinc, magnesium or aluminium quinolate.
20. An electroluminescent device as claimed in any one of claims 10 to 19 in which the electron transmitting material and the light emitting metal compound are mixed to form one layer in a proportion of 5 to 95% of the electron transmitting material to 95 to 5% of the light emitting metal compound.
21. An electroluminescent device as claimed in any one of claims 6 to 20 in which

- 29 -

the anode and/or cathode is formed on a substrate of crystalline silicon and the surface of the substrate may be polished or smoothed to produce a flat surface prior to the deposition of electrode, or electroluminescent compound.

- 5 22. An electroluminescent device as claimed in any one of claims 6 to 21 in which the anode and/or cathode is formed on a substrate of a non-planarised silicon substrate.
- 10 23. An electroluminescent device as claimed in any one of claims 6 to 22 in which there is a copper phthalocyanine layer on the first electrode and a lithium fluoride layer on the second electrode.

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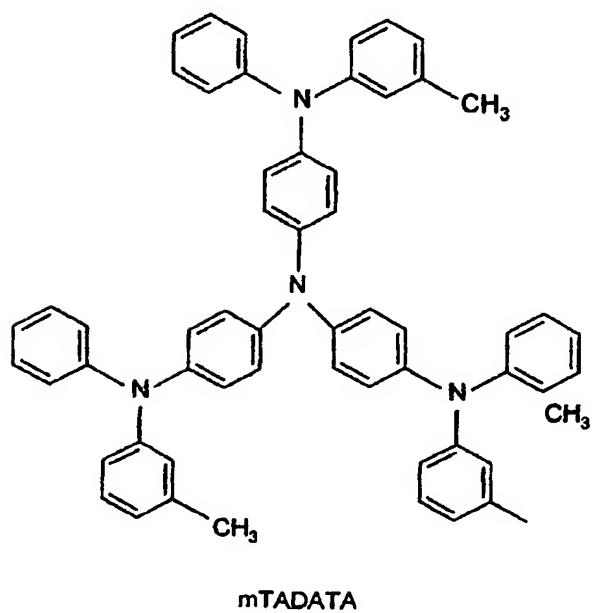
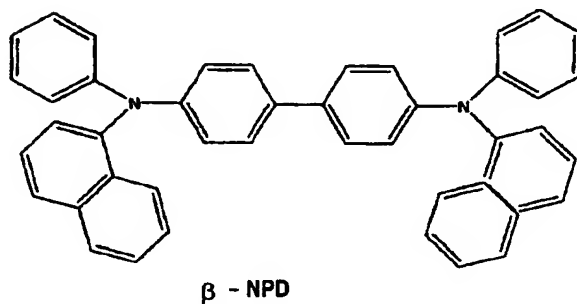
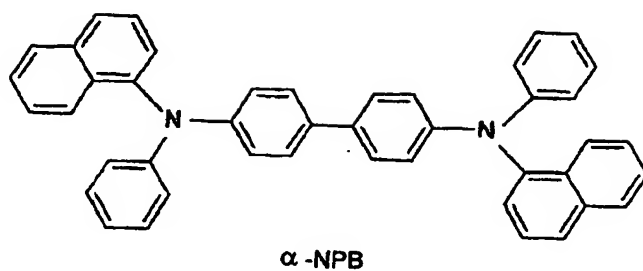


Fig. 1

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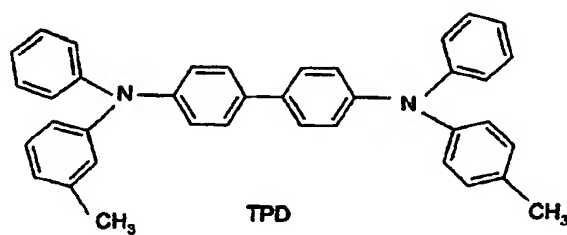
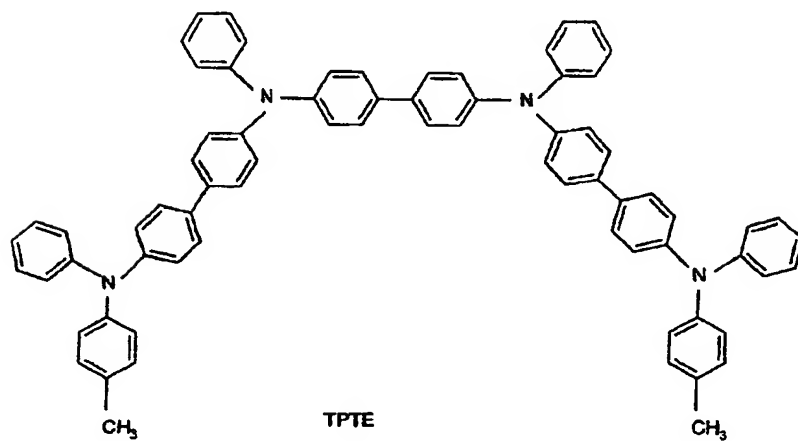
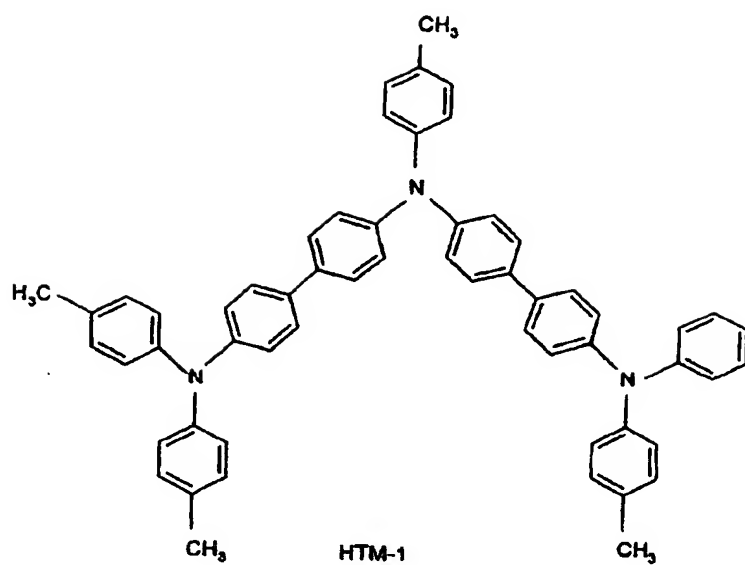


Fig. 2

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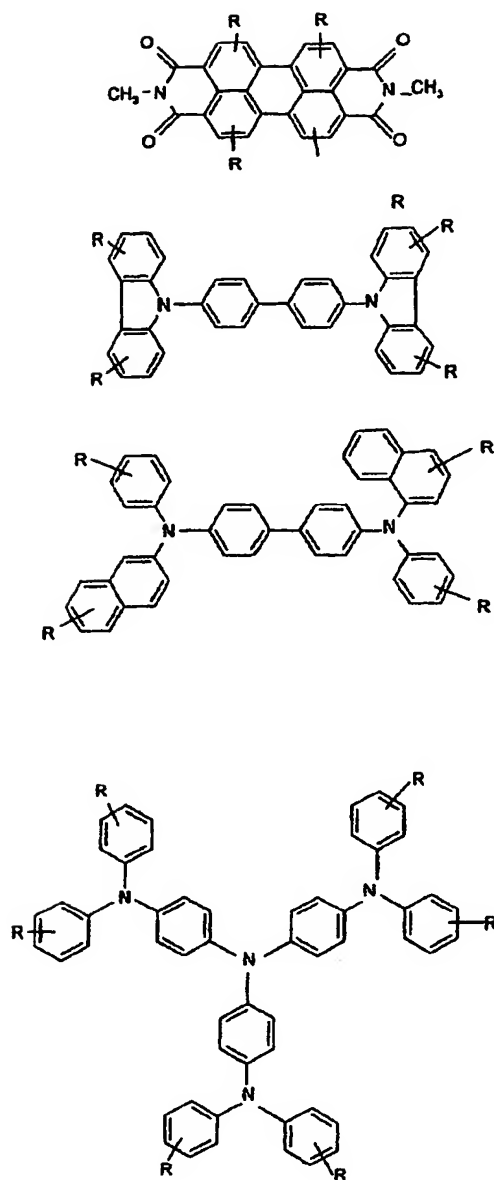


Fig. 3

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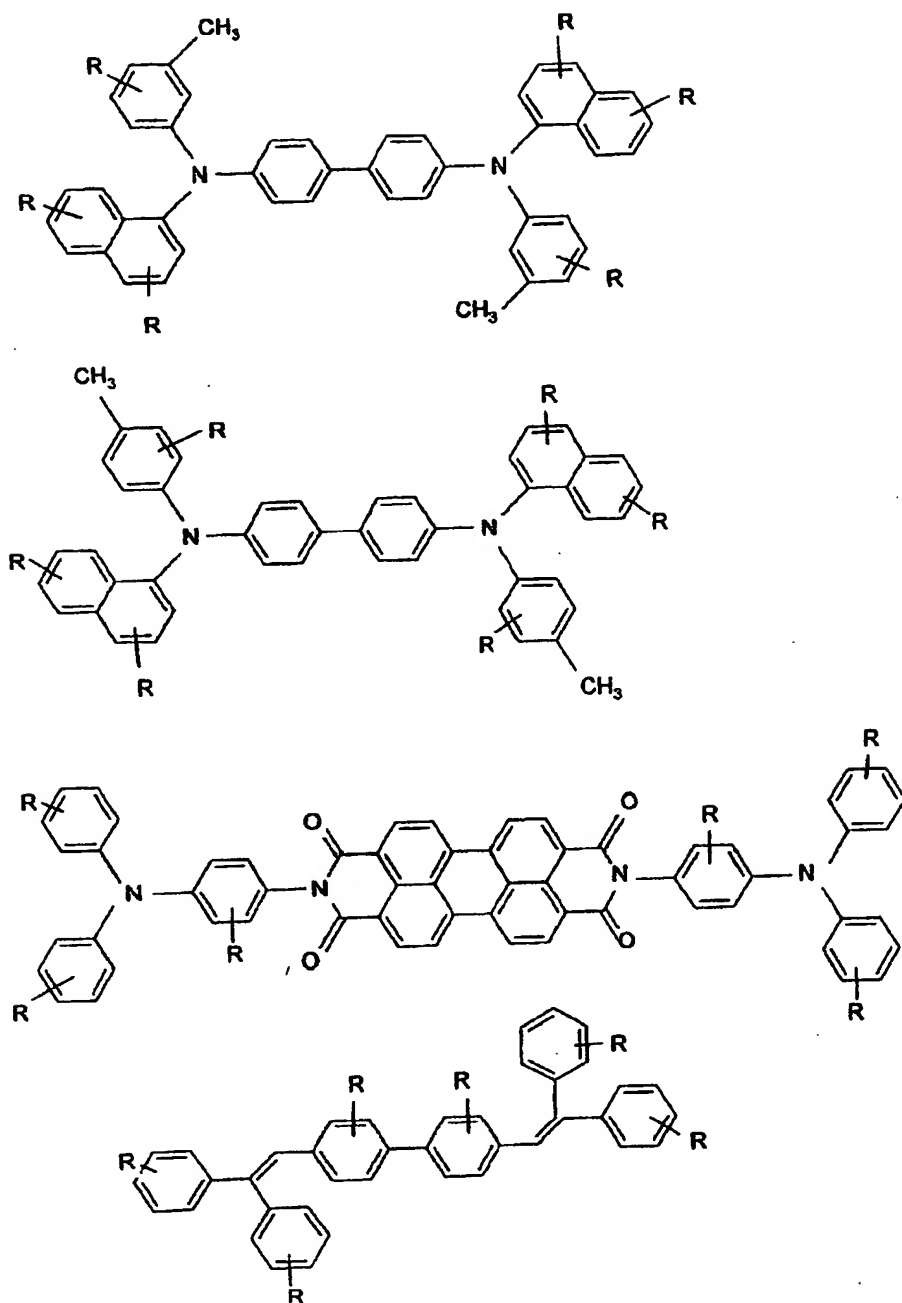


Fig. 4

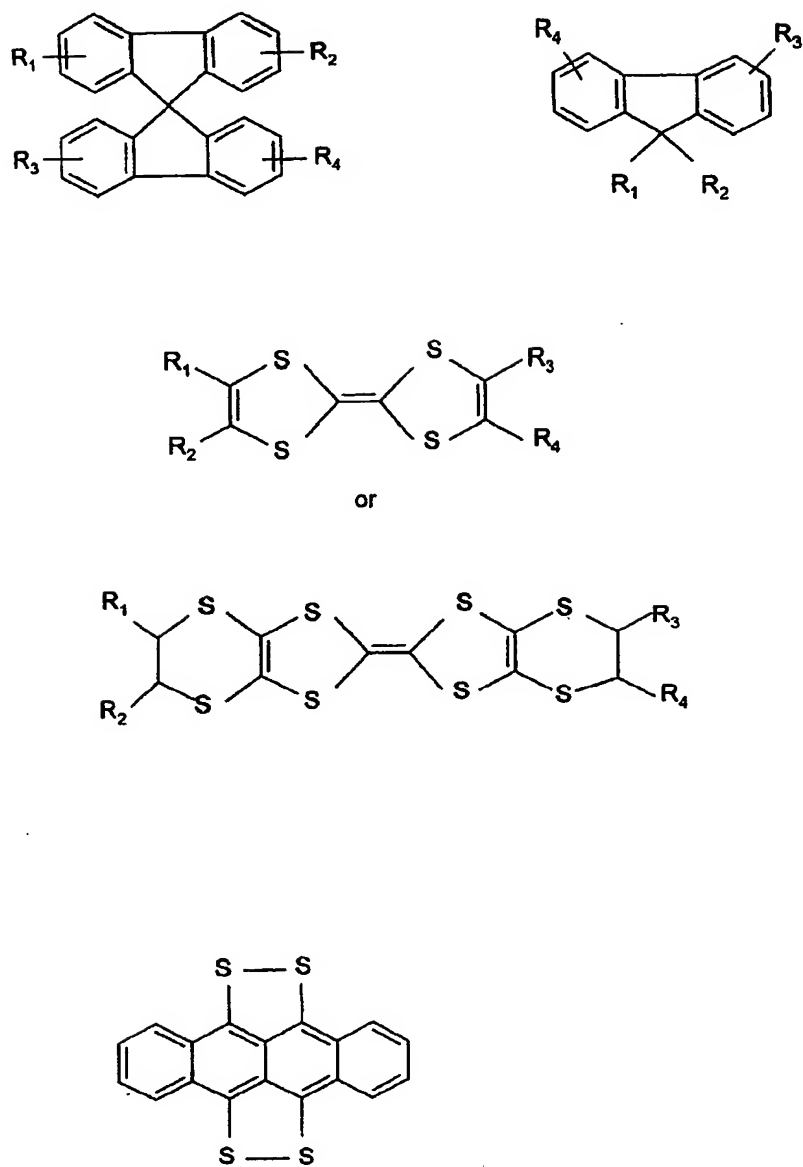
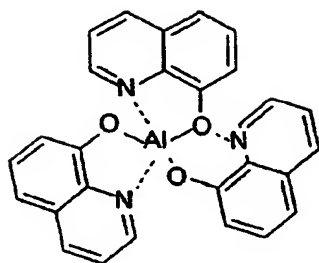
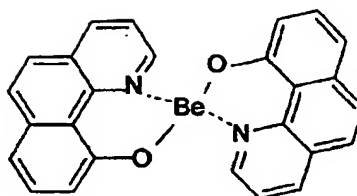


Fig. 5

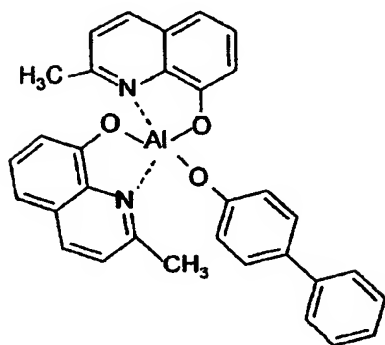
6/23



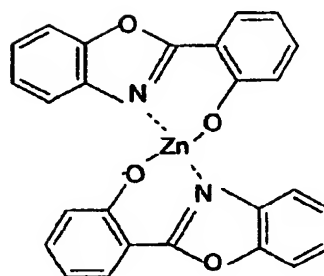
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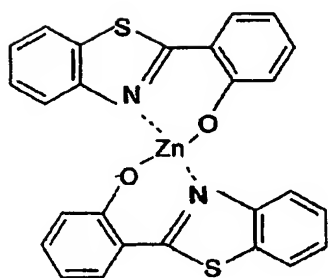
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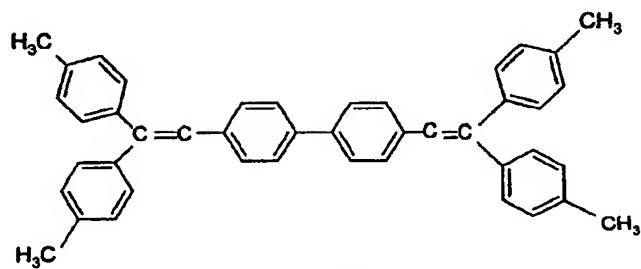
BAlq1



ZnPBO



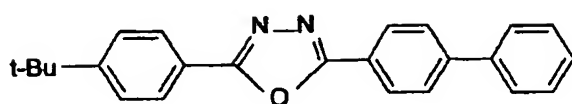
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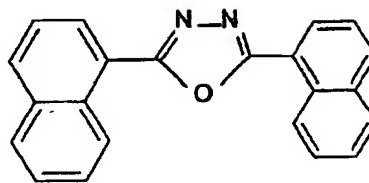
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Fig. 6

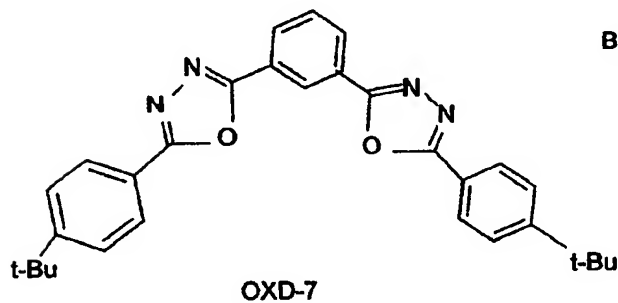
7/23



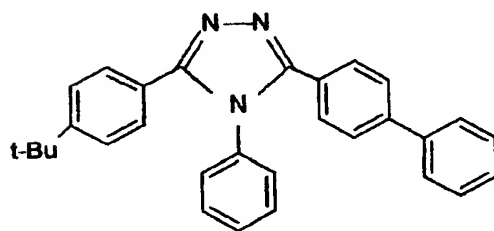
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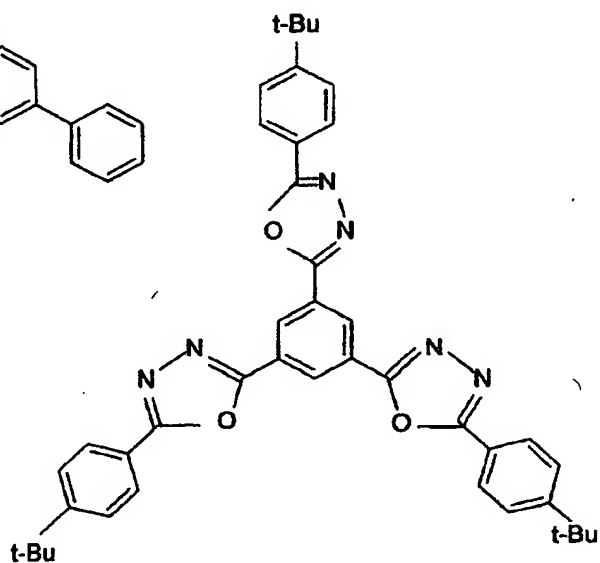
BND



OXD-7



TAZ



OXD-Star

Fig. 7

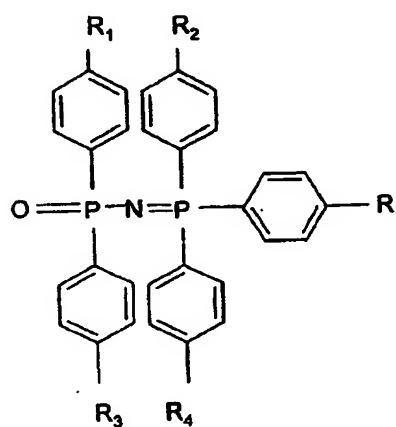


Fig. 8

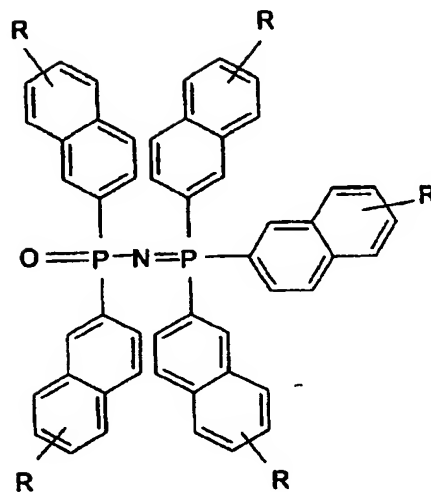


Fig. 9b

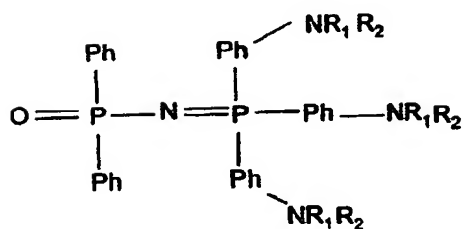


Fig. 9a

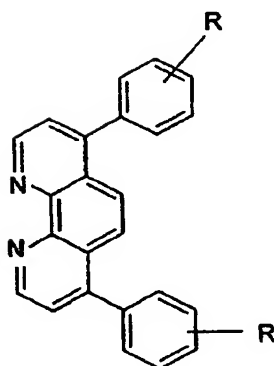


Fig. 10

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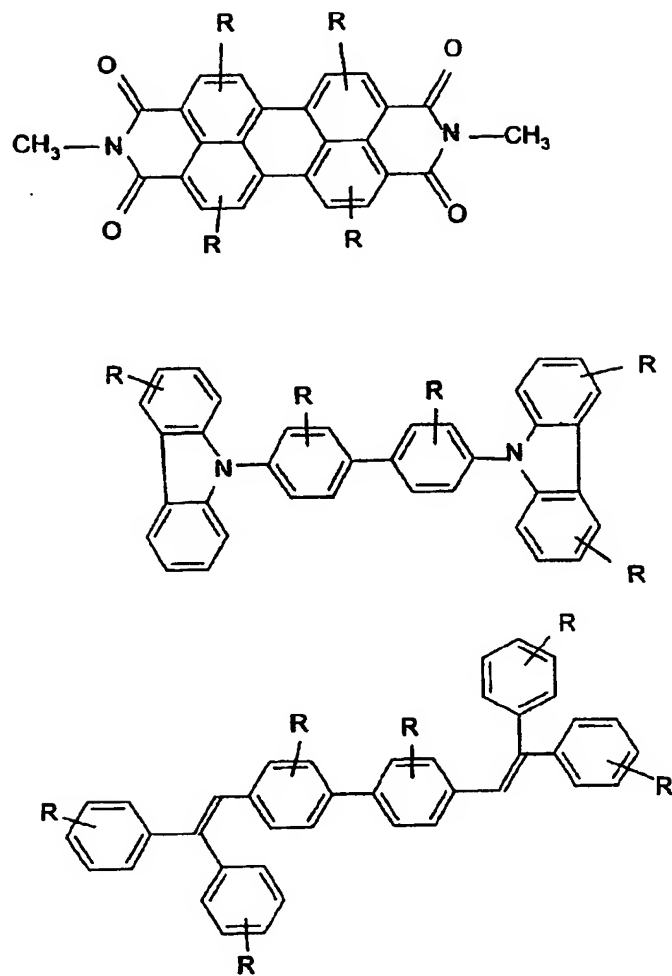


Fig. 11a

10/23

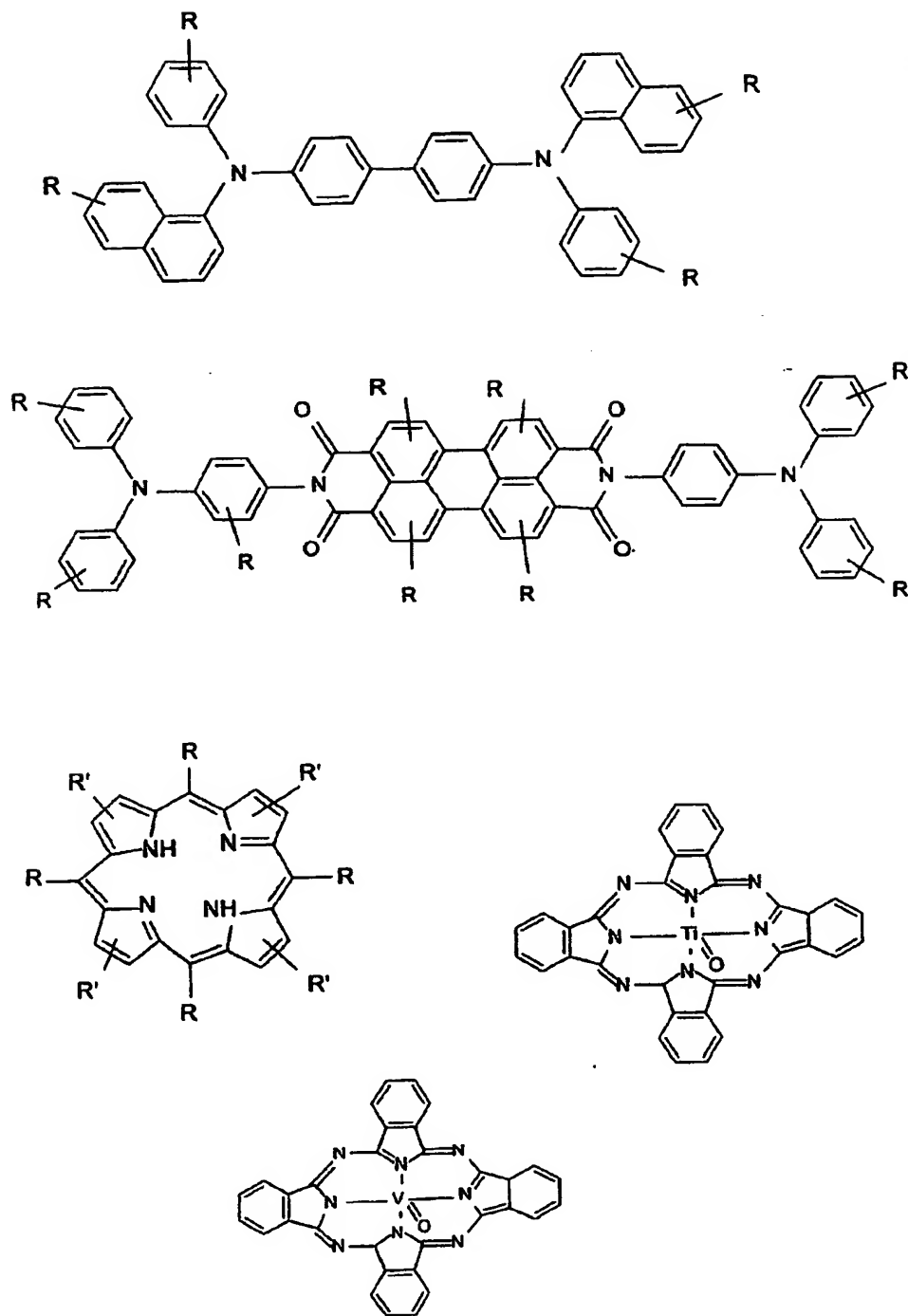


Fig. 11b

11/23

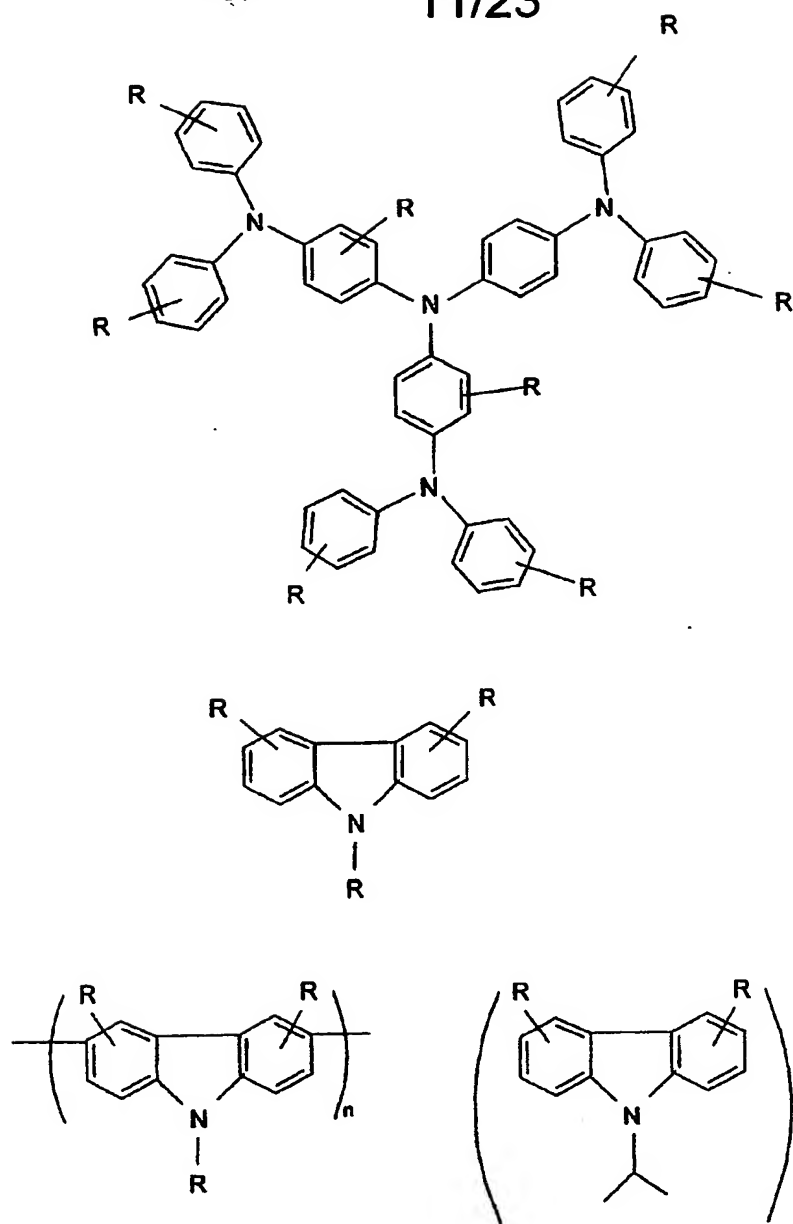


Fig. 11c

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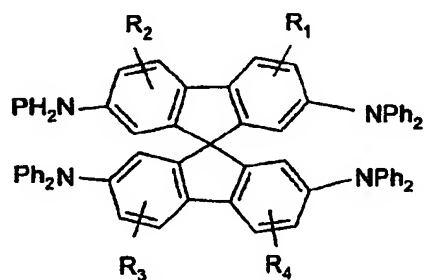
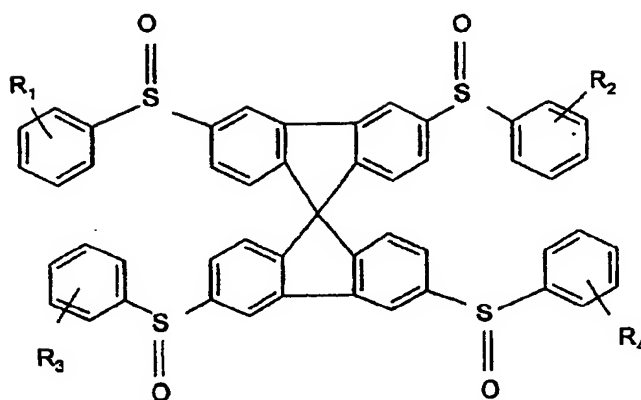
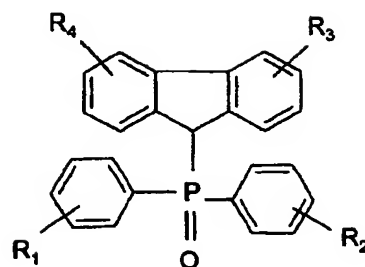
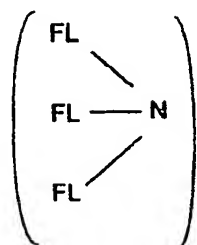


Fig. 12a

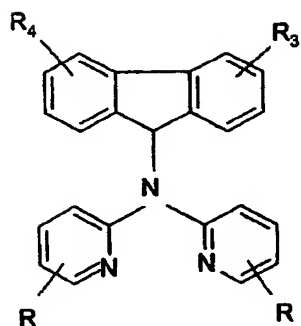


Fig. 12b

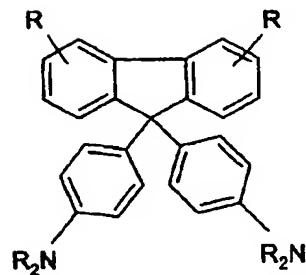


Fig. 12c

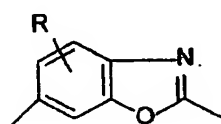


Fig. 13a

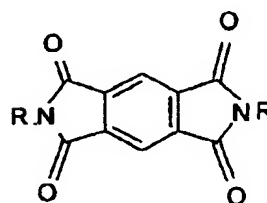


Fig. 13b

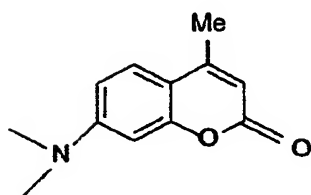


Fig. 13c

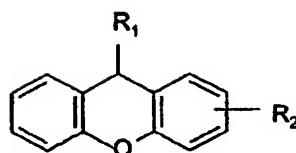


Fig. 13d

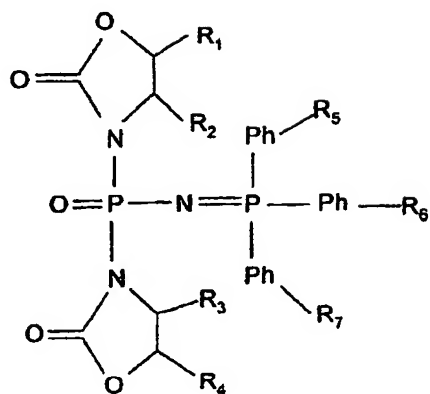


Fig. 13e

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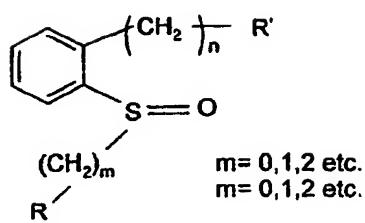
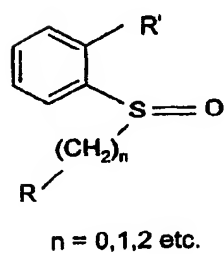
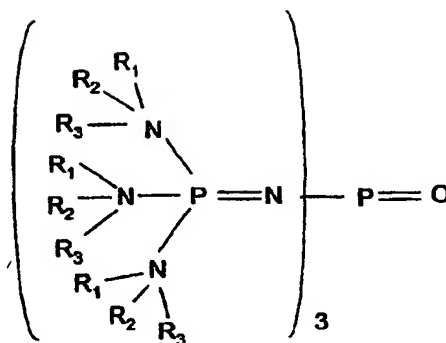
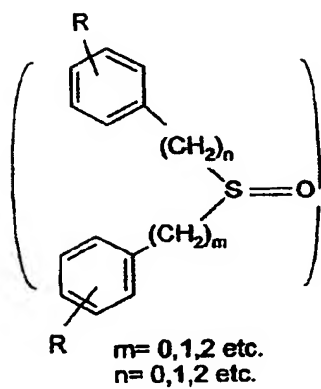
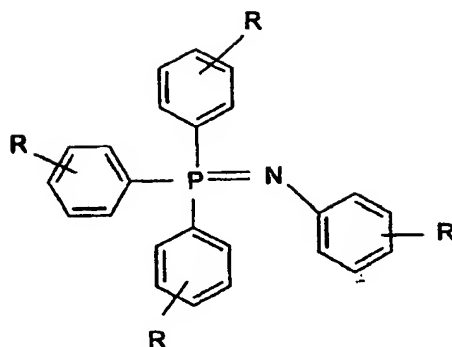
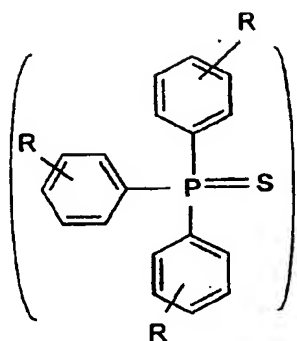


Fig. 14

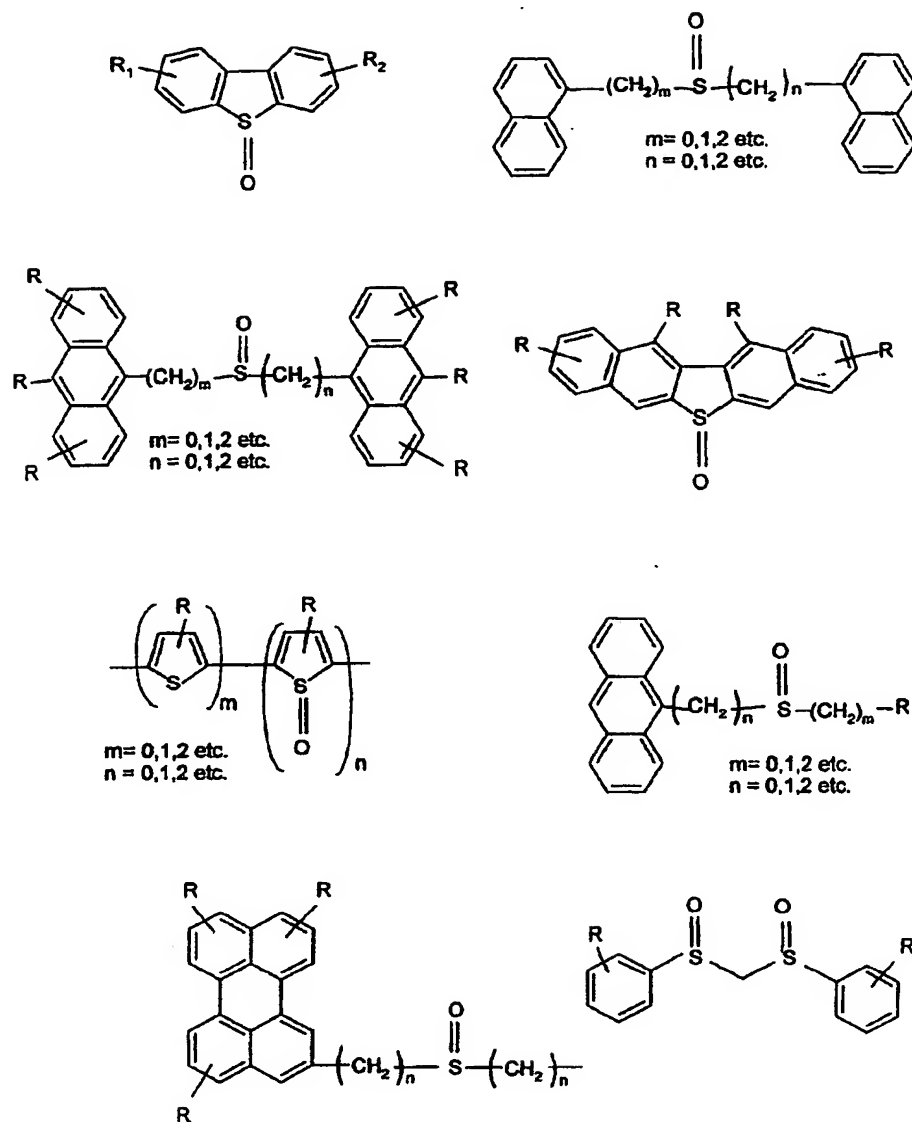


Fig. 15

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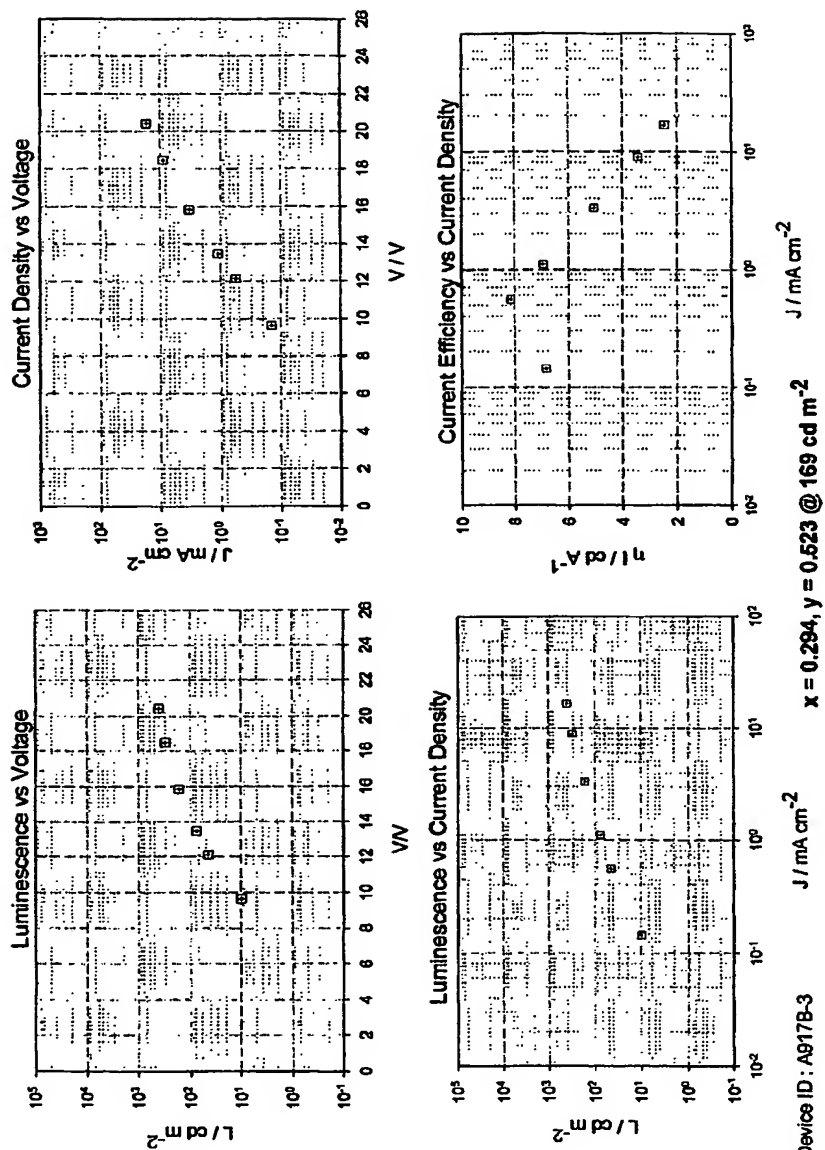
ITO (H)/ α -NPB (75 nm)/Tb(pyr)₃OPNP (50 nm)/BCP (20 nm)/Alq₃ (40 nm)/LiF (0.5 nm)/Al

Fig. 16

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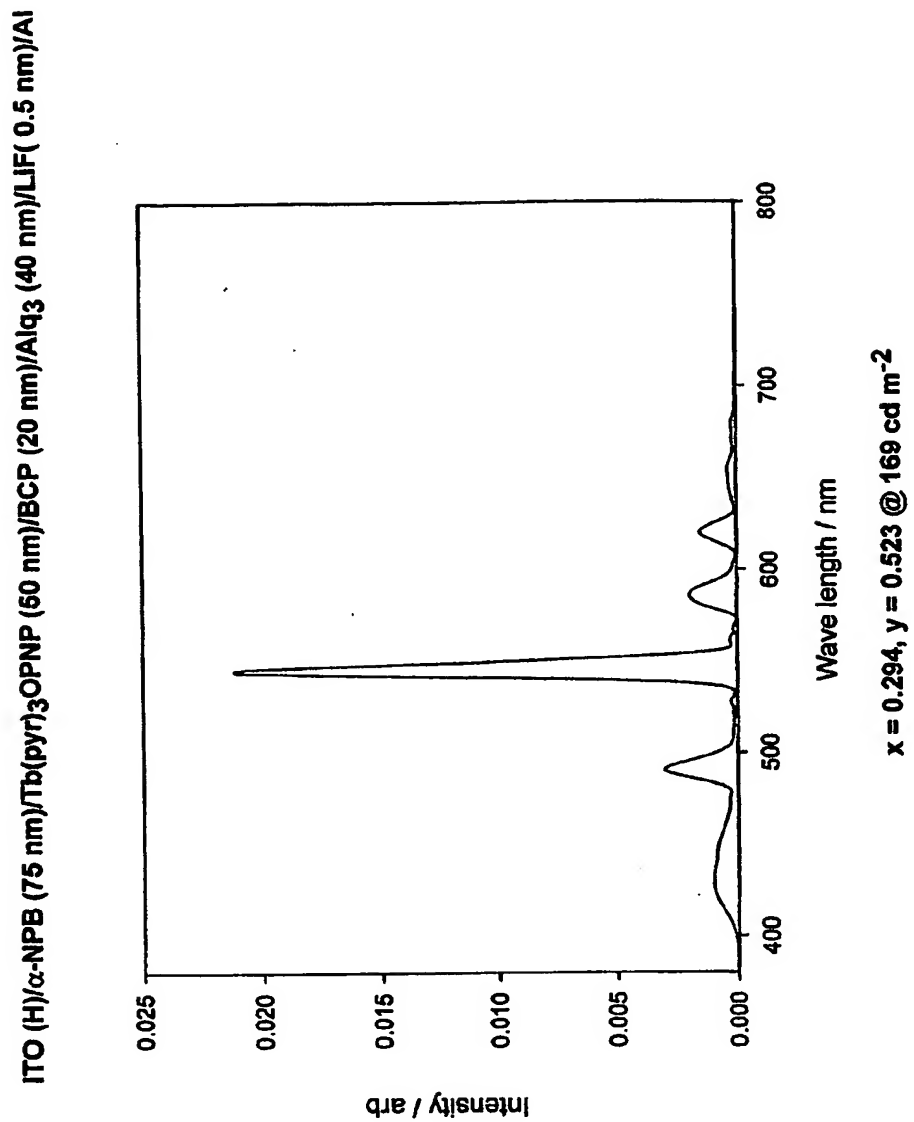


Fig. 17

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ITO (H)/ α -NPB (10 nm)/Tb(pyr)₃ OPNP (50 nm)/Alq₃ (40 nm)/LiF (0.7 nm)/Al

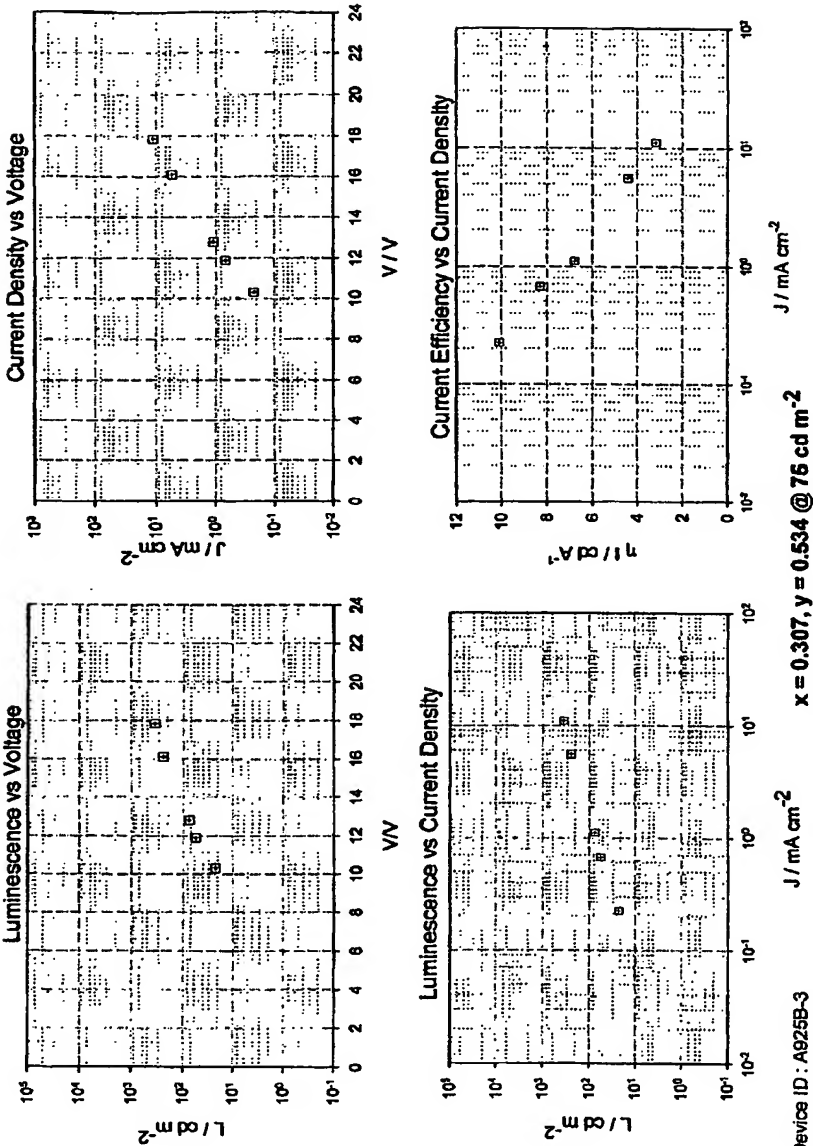


Fig. 18

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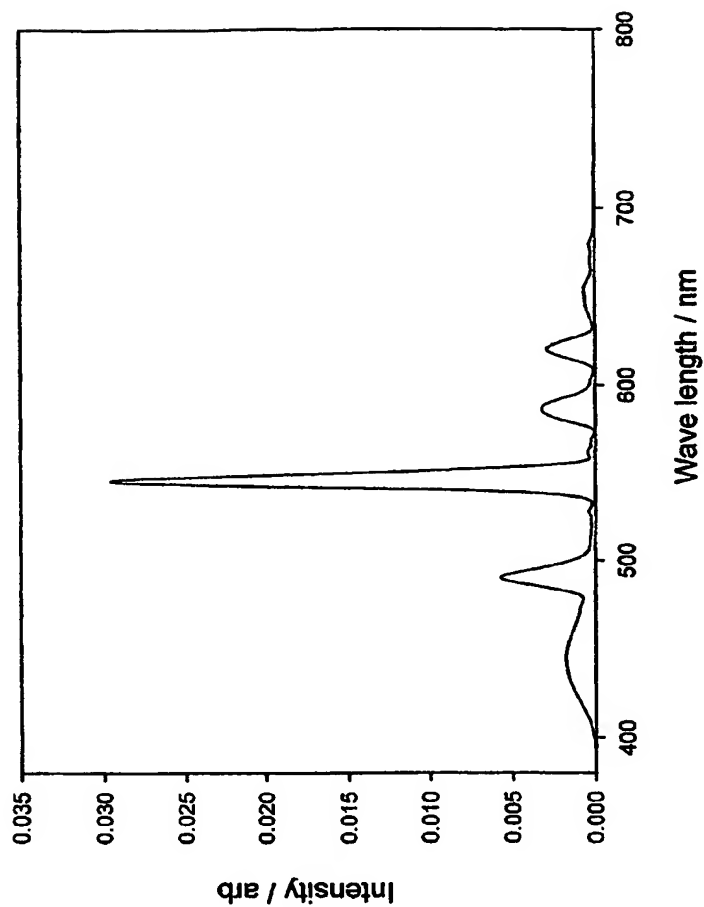
ITO (H)/ α -NPB (10 nm)/Tb(pyr)₃OPNP (50 nm)/BCP (20 nm)/Alq₃ (40 nm)/LIF (0.7 nm)/Al $x = 0.307, y = 0.534 @ 75 \text{ cd m}^{-2}$

Fig. 19

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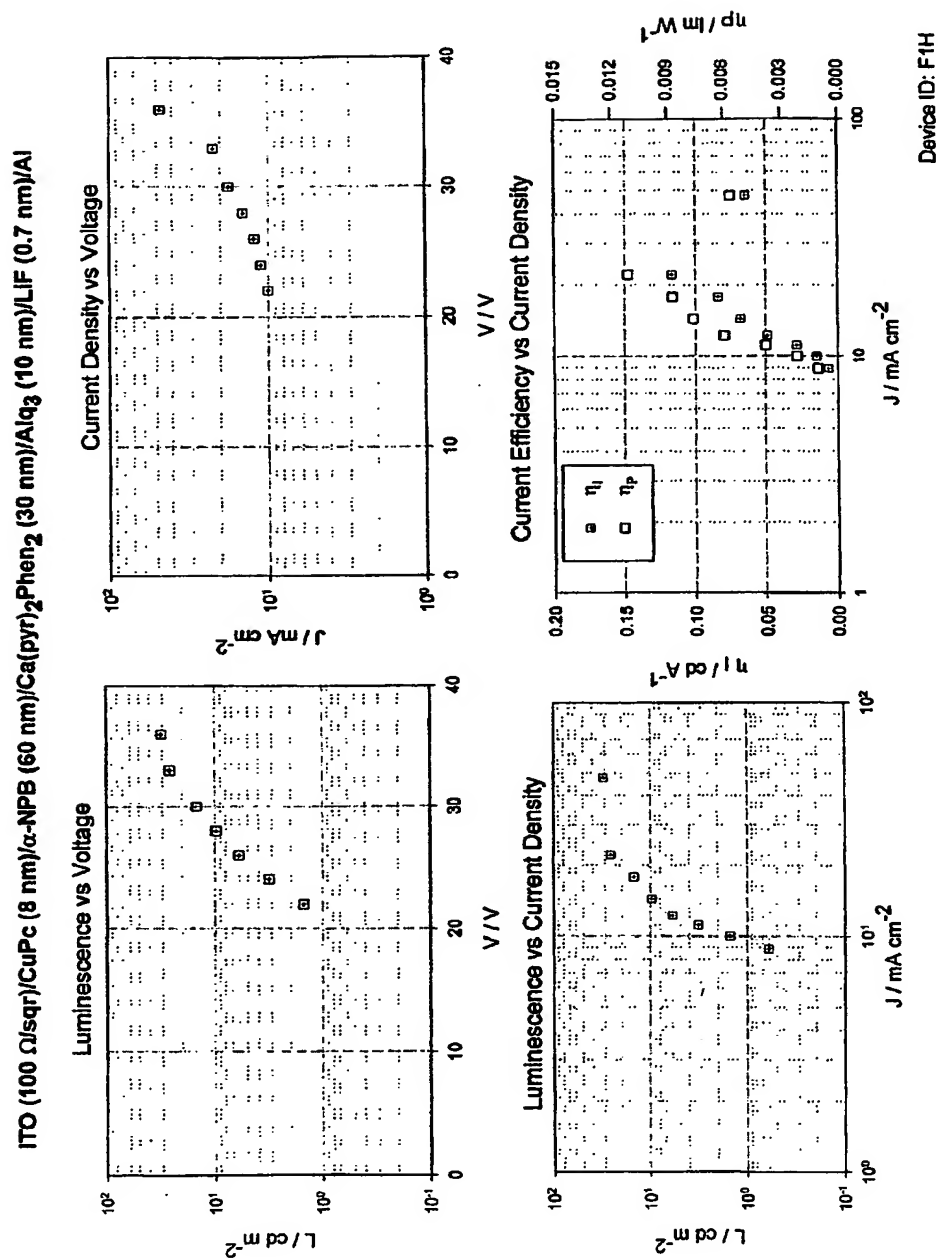
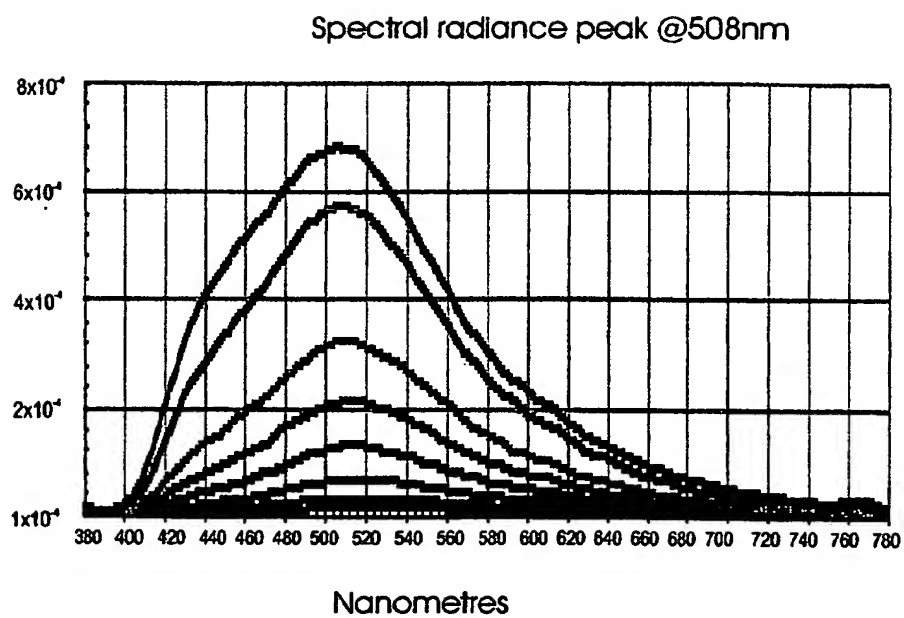


Fig. 20

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Device 3

Fig. 21

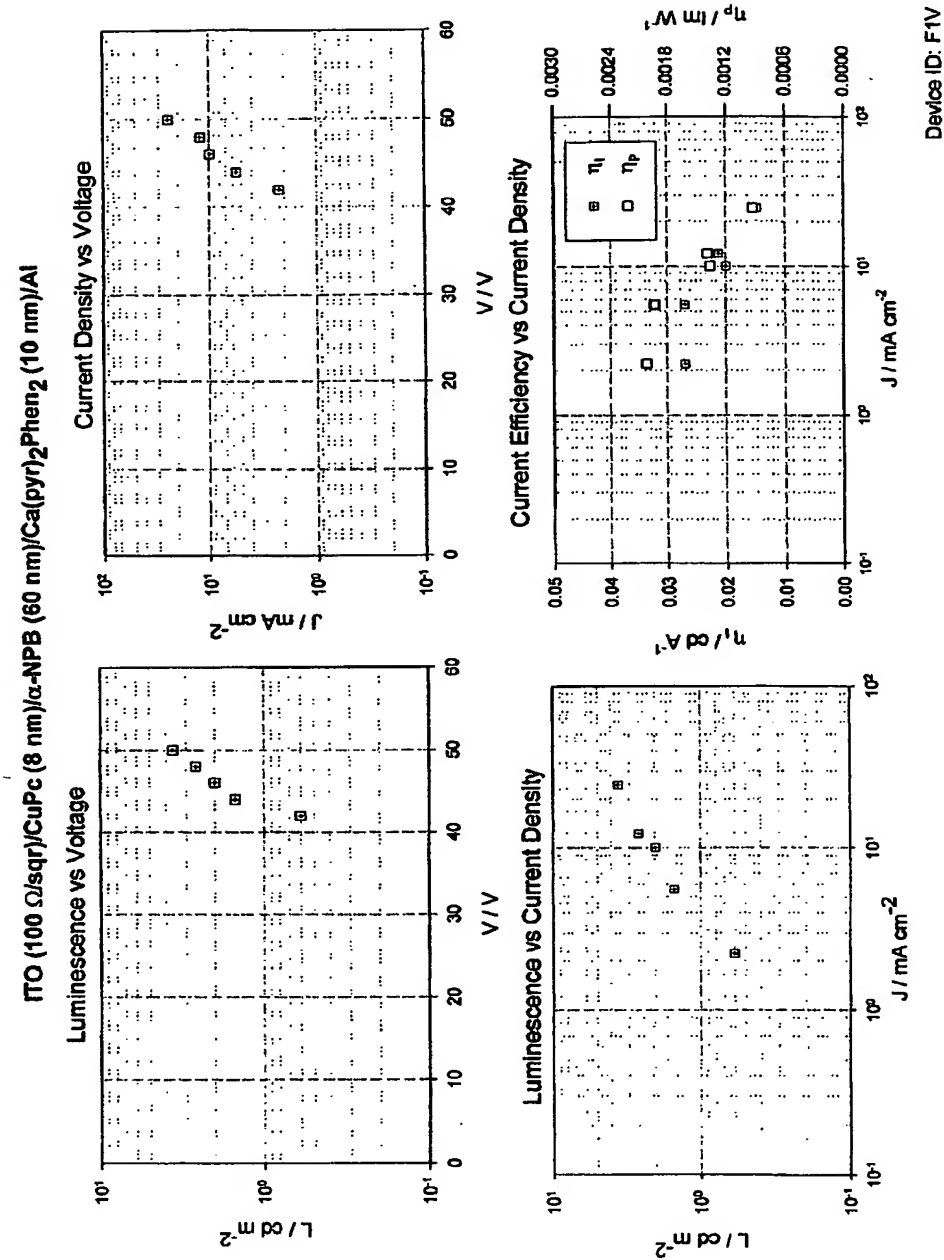
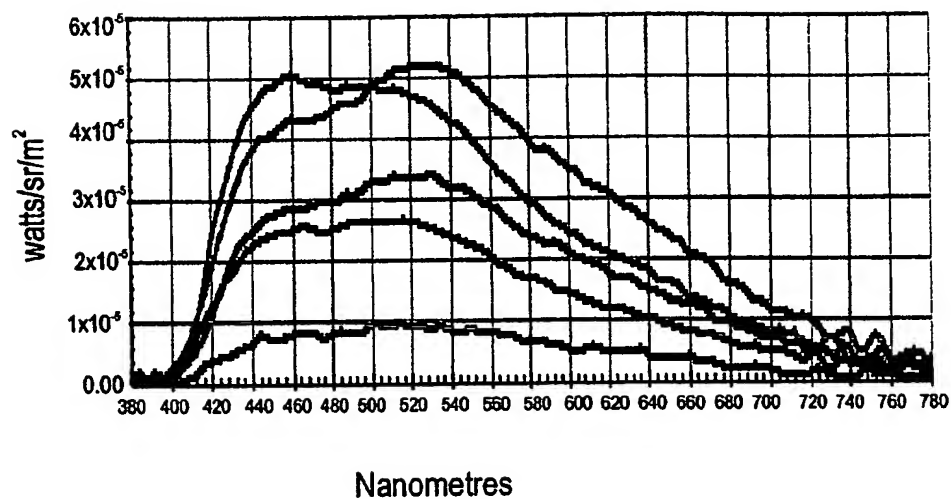


Fig. 22

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Spectral radiance Peak @ 536nm



Device 4

Fig. 23

INTERNATIONAL SEARCH REPORT

International Application No.

PCT/JP 03/05303

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C09K11/06 H05B33/14 H01L51/20 H01L51/30 C07F9/02
C07D231/26

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C09K H05B H01L C07F C07D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, CHEM ABS Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 02/20692 A (ISIS INNOVATION LIMITED, UK) 14 March 2002 (2002-03-14) * page 9, compounds 1-3, page 12, page 13, line 18 through page 16, line 9 *	1-23
X	GAO XI-CUN ET AL: "Photoluminescence and electroluminescence of a series of terbium complexes" SYNTHETIC METALS, ELSEVIER SEQUOIA, LAUSANNE, CH, vol. 99, no. 2, 12 February 1999 (1999-02-12), pages 127-132, XP002216364 ISSN: 0379-6779 * page 128, Fig. 2, page 129, Table 1, pages 130-131, point 3.4, Fig. 4 *	1-23
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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

7 April 2004

Date of mailing of the international search report

21. 04. 04

Name and mailing address of the ISA

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Fax: (+31-70) 340-3016

Authorized officer

Nemes, C

INTERNATIONAL SEARCH REPORT

Internal Application No

PCT/GB 83/05303

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	XIN, H. ET AL: "Photoluminescence and electroluminescence of the exciplex formed between a terbium ternary complex and N,N'-diphenyl-N,N'-bis(3-methylphenyl)-1,1'-diphenyl-4,4'-diamine" PHYSICAL CHEMISTRY CHEMICAL PHYSICS (2002), 4(23), 5895-5898, XP001180727 * page 5896, Fig. 1, page 5897, Table 1 *	1-23
X	WO 00/79616 A (SALATA OLEG VICTOROVICH; CHRISTOU VICTOR (GB); ISIS INNOVATION (GB) 28 December 2000 (2000-12-28) * page 9, chemical formula, Examples *	1-23
X	AKAMA, Y. ET AL: "Thermal decompositions of complexes of Al, Ga, In, Cr, Fe and Bi ions with 1-phenyl-3-methyl-4-benzoyl-5-pyrazolone" JOURNAL OF THERMAL ANALYSIS (1995), 44(5), 1107-12, XP009028919 * page 1107 *	1,2
X	DATABASE CA [Online] CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; FADEEVA, V. I. ET AL: "Mechanism of scandium and zirconium ion extraction by beta.-diketones and heptyl tetraethyldiamidophosphate" XP002276191 retrieved from STN Database accession no. 83:66217 * abstract, structural formulae * & IZVESTIYA AKADEMII NAUK SSSR, SERIYA KHIMICHESKAYA (1975), (3), 507-13, XP009028918	1-5

INTERNATIONAL SEARCH REPORT

In International application No.
PCT/GB 03/05303

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. ☒ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
see FURTHER INFORMATION sheet PCT/ISA/210

3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.

2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:

4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: -

The initial phase of the search revealed a very large number of documents relevant to the issue of novelty. So many documents were retrieved that it is impossible to determine which parts of the claims may be said to define subject-matter for which protection might legitimately be sought (Article 6 PCT). For these reasons, a meaningful search over the whole breadth of the claims is impossible. Consequently, the search has been restricted to the compounds/devices explicitly disclosed in the application (Examples 1-8, Devices 1-4).

The applicant's attention is drawn to the fact that claims relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure. If the application proceeds into the regional phase before the EPO, the applicant is reminded that a search may be carried out during examination before the EPO (see EPO Guideline C-VI, 8.5), should the problems which led to the Article 17(2) declaration be overcome.

INTERNATIONAL SEARCH REPORT

Internu I Applicatio
PCT/GB 03/05303

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 2002020692 A	14-03-2002	AU 8429901 A WO 0220692 A1 GB 2384000 A US 2004027821 A1	22-03-2002 14-03-2002 16-07-2003 12-02-2004
WO 0079616 A	28-12-2000	AU 5548500 A WO 0079616 A1	09-01-2001 28-12-2000

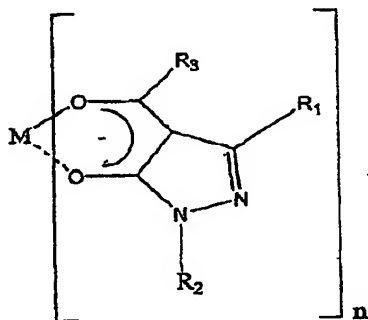
INTERNATIONAL SEARCH REPORT

International application No.

PCT/GB 03/05303

Box III TEXT OF THE ABSTRACT (Continuation of item 5 of the first sheet)

An electroluminescent material is a metal complex of 1-phenyl-3-methyl-4-trimethylacetylpyrazol-5-one of formula (I):



(I)

An electroluminescent device comprising the compound of formula (I) in the luminescent layer is also part of the invention.